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# Data Based Design of 3 Term Controllers

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- Our Recent Results - **complete set** of PID controllers achieving stability and performance based on transfer function model
- Present Paper - extend these results to the case where only **data** is available

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- State variables have no meaningful dimensions or units

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  - $P(j\omega)$  for  $\omega \in [0, \infty)$
  - Number of plant RHP poles,  $p^+$ .

# Preliminaries

Consider a real rational function

$$R(s) = \frac{A(s)}{B(s)}$$

where  $A(s)$  and  $B(s)$  are polynomials of real coefficients with degrees  $m$  and  $n$ , respectively. Assume that  $A(s)$  and  $B(s)$  have no zeros on the  $j\omega$  axis.

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Write

$$R(j\omega) = R_r(\omega) + jR_i(\omega)$$

where  $R_r(\omega)$  and  $R_i(\omega)$  are real rational functions in  $\omega$ . Note that  $R_r(\omega)$  and  $R_i(\omega)$  have no real poles for  $\omega \in (-\infty, +\infty)$ .

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Let

$$0 = \omega_0 < \omega_1 < \omega_2 < \cdots < \omega_{l-1}$$

and define  $\omega_l = \infty^-$  denote the finite zeros of  $R_i(\omega) = 0$

# Real Hurwitz Signature Lemma

Define

$$\operatorname{sgn}[x] = \begin{cases} +1 & \text{if } x > 0 \\ 0 & \text{if } x = 0 \\ -1 & \text{if } x < 0 \end{cases}$$

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If  $n - m$  is even

$$\sigma(R) = \left( \operatorname{sgn}[R_r(\omega_0^+)] + 2 \sum_{j=1}^{l-1} (-1)^j \operatorname{sgn}[R_r(\omega_j)] + (-1)^l \operatorname{sgn}[R_r(\omega_l)] \right) \cdot (-1)^{l-1} \operatorname{sgn}[R_i(\infty^-)]$$

If  $n - m$  is odd

$$\sigma(R) = \left( \operatorname{sgn}[R_r(\omega_0)] + 2 \sum_{j=1}^{l-1} (-1)^j \operatorname{sgn}[R_r(\omega_j)] \right) (-1)^{l-1} \operatorname{sgn}[R_i(\infty^-)]$$

# Complex Hurwitz Signature Lemma

Consider a complex rational function

$$Q(s) = \frac{D(s)}{E(s)}, \quad Q(j\omega) = Q_r(\omega) + jQ_i(\omega)$$

where  $Q_r(\omega)$  and  $Q_i(\omega)$  are **real** rational functions.  $Q_r(\omega)$  and  $Q_i(\omega)$  have no real poles for  $\omega \in (-\infty, +\infty)$ .



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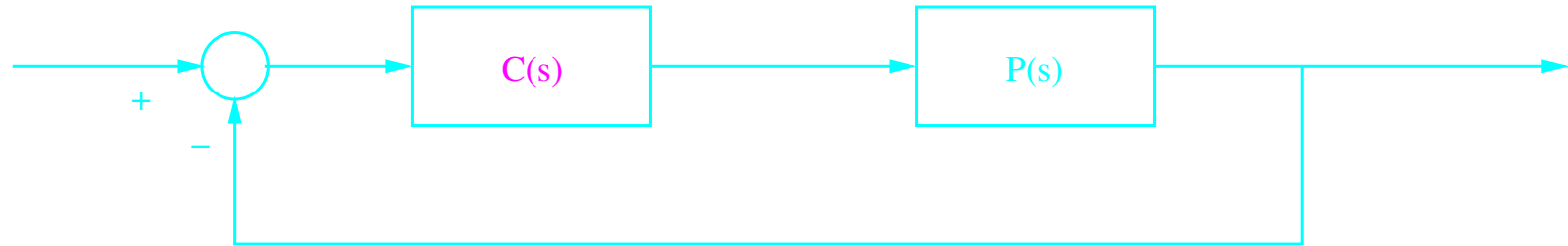
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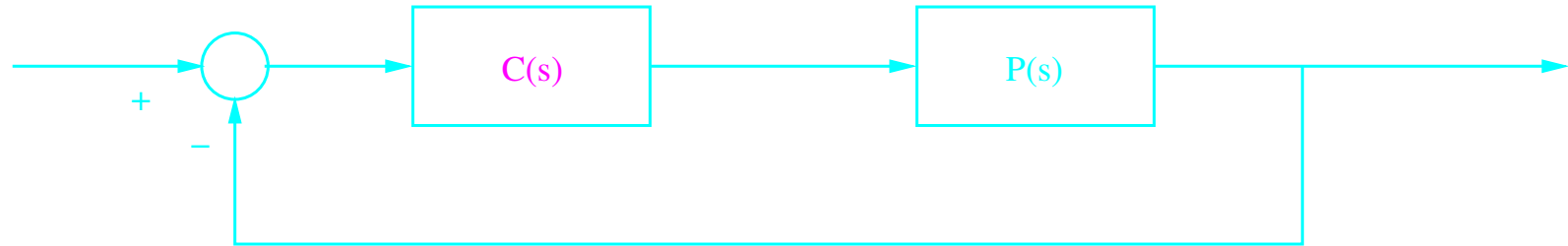
Lemma

$$\sigma(Q) = \left( \sum_{j=1}^{l-1} (-1)^{l-1-j} \operatorname{sgn}[Q_r(\omega_j)] \right) \operatorname{sgn}[Q_i(\infty^-)]$$

# PID controller design



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Let the PID controller be of the form

$$C(s) = \frac{K_i + K_p s + K_d s^2}{s(1 + sT)}, \quad T > 0$$

# Main Results

- The **complete** set of stabilizing PID gains for a given LTI plant can be found from the frequency response data  $P(j\omega)$  and the knowledge of the number of RHP poles.

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- The **complete** set of stabilizing PID gains for a given LTI plant can be found from the frequency response data  $P(j\omega)$  and the knowledge of the number of RHP poles.
- Using the result above, the **subset** of the PID gains that satisfy the several given performance requirements.

# PID Controller Design

Let us consider the plant and PID controller pair of the form:

$$P(s) = \frac{N(s)}{D(s)}$$
$$C(s) = \frac{K_i + K_p s + K_d s^2}{s(1 + sT)}, \quad T > 0$$

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$$F(s) = s(1 + sT) + (K_i + K_p s + K_d s^2) P(s)$$

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Closed-loop stability is equivalent to the condition that zeros of  $F(s)$  lie in the LHP. This is also equivalent to the condition

$$\sigma(F(s)) = n + 2 - (p^- - p^+)$$



# PID Controller Design Continue ...

Now consider the rational function

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Note that

$$\begin{aligned}\sigma(\bar{F}(s)) &= \sigma(F(s)) + \sigma(P(-s)) \\ &= n + 2 - (p^- - p^+) + (z^+ - z^-) - (p^+ - p^-) \\ &= n + 2 - z^+ + z^- \\ &= \underbrace{n - m}_{\text{relative degree of } P(s)} - 2z^+ + 2\end{aligned}$$

## PID Controller Design Continue ...

Write

$$\begin{aligned}\bar{F}(j\omega) &= j\omega(1 + j\omega T)P(-j\omega) + (K_i + j\omega K_p - \omega^2 K_d)P(j\omega)P(-j\omega) \\ &= \bar{F}_r(\omega) + j\bar{F}_i(\omega)\end{aligned}$$

where

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●  $K_p$  appears only in  $\bar{F}_i(\omega)$

●  $K_i, K_d$  only in  $\bar{F}_r(\omega)$

# Lemma: Determining the required signature

## Relative Degree and Net Phase Change:

- A. In the Bode magnitude plot of the LTI system  $P(j\omega)$ , the high frequency slope is  $-(n - m)20\text{dB/decade}$  where  $n - m$  is the relative degree of the plant  $P(s)$ .

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- B. The net change of phase of  $P(j\omega)$ ,  $\omega \in [0, \infty)$ , denoted  $\Delta_0^\infty(\phi)$  is:

$$\Delta_0^\infty(\phi) = - [(n - m) - 2(p^+ - z^+)] \frac{\pi}{2}$$

where  $p^+$  and  $z^+$  are numbers of RHP poles and zeros of  $P(s)$ , respectively.

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- From known  $n - m$  from Bode plot, given  $p^+$ , and measured  $\Delta_0^\infty(\phi)$ , we can compute  $z^+$ .

## Determining $z^+$ and $p^+$

- Assume that a known feedback controller  $C(s)$  stabilizes the plant  $P$  and the closed-loop response can be *measured* and denoted by

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- Knowledge of  $C(s)$  and  $G(j\omega)$  is sufficient to determine  $z^+$  and  $p^+$ .

## Determining $z^+$ and $p^+$ (continue...)

Lemma:

$$z^+ = \frac{1}{2} [-r_P - r_C - 2z_c^+ - \sigma(G)]$$

$$p^+ = \frac{1}{2} [\sigma(P) - \sigma(G) - r_C] - z_c^+$$

where  $z_c^+$  denotes the number of RHP zeros of  $C(s)$ .

## Proof of Lemma

$$G(s) = \frac{P(s)C(s)}{1 + P(s)C(s)}$$

• since  $G(s)$  is stable,

$$\sigma(G) = (z^- + z_c^-) - (z^+ + z_c^+) - (n + n_c) = -r_P - r_C - 2z_c^+ - 2z^+$$

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- From  $\sigma(P)$  applied to  $P(s)$ , we have  $p^+ = z^+ + \frac{1}{2}\sigma(P) + \frac{1}{2}r_P$ .  
Then we now have

$$p^+ = \frac{1}{2} [\sigma(P) - \sigma(G) - r_C] - z_c^+.$$

## Determining the zeros of $\bar{F}_i(\omega) = 0$

Recall

$$\bar{F}_i(\omega) = \omega(K_p |P(j\omega)|^2 + P_r(\omega) + \omega T P_i(\omega)) = 0$$



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Then for  $\omega \neq 0$ ,

$$K_p = -\frac{P_r(\omega) + \omega T P_i(\omega)}{|P(j\omega)|^2}$$

or

$$K_p = -\frac{\cos \phi(\omega) + \omega T \sin \phi(\omega)}{|P(j\omega)|}$$

## PID Controller Design Continue ...

The set of PID stabilizing controllers can be found as follows:

- Fix  $K = K_p^*$  and set  $\bar{F}_i(\omega, K_p^*) = 0$ .

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  - For  $n - m$  even:

$$\left\{ i_0 - 2i_1 + 2i_2 + \dots + (-1)^{i-1} 2i_{l-1} + (-1)^l i_l \right\} \cdot (-1)^{l-1} j \\ = n - m + 2z^+ + 2$$

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- For  $n - m$  odd:

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## PID Controller Design Continue ...

- For each string (sign sequence of appropriate number of terms) satisfying the signature formula, the conditions for stability are:

$$\text{sgn} [R(\omega_t, K_i, K_d)] i_t > 0, \quad \text{for } t = 0, 1, 2, \dots,$$

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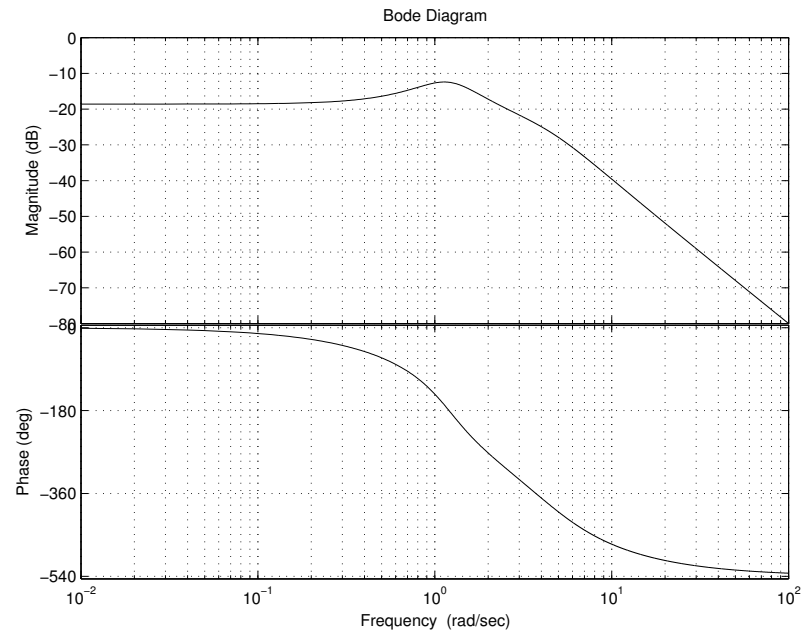
$$\text{sgn} [R(\omega_t, K_i, K_d)] i_t > 0, \quad \text{for } t = 0, 1, 2, \dots,$$

- Each valid string produces a set of linear inequalities in  $(K_i, K_d)$  space.



# Example

Consider the *stable* plant



$$-6 \frac{\pi}{2} = - \left( \underbrace{(n - m)}_{=2} - 2 \underbrace{(p^+ - z^+)}_{=0} \right) \frac{\pi}{2} \Rightarrow z^+ = 2$$

## Example (continue...)

- The required signature for stability can now be determined and is

$$\sigma(\bar{F}) = (n - m) + 2z^+ + 2 = (2) + 2(2) + 2 = 8.$$

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- Since  $n - m$  is even, we have

$$i_0 - 2i_1 + 2i_2 - 2i_3 + 2i_4 - \cdots + (-1)^l i_l = 8,$$

and it is clear that at least four terms are required to satisfy the above.

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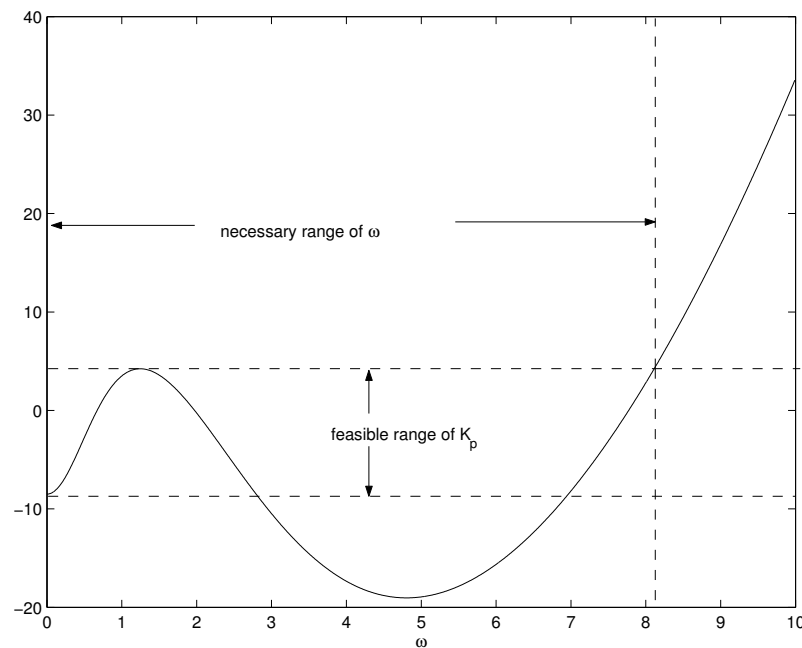
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- In other words  $l \geq 4$ .

## Example (continue...)

From the figure it is easy to see that  $K_p^*$  has at most three positive frequencies as solutions and therefore we have

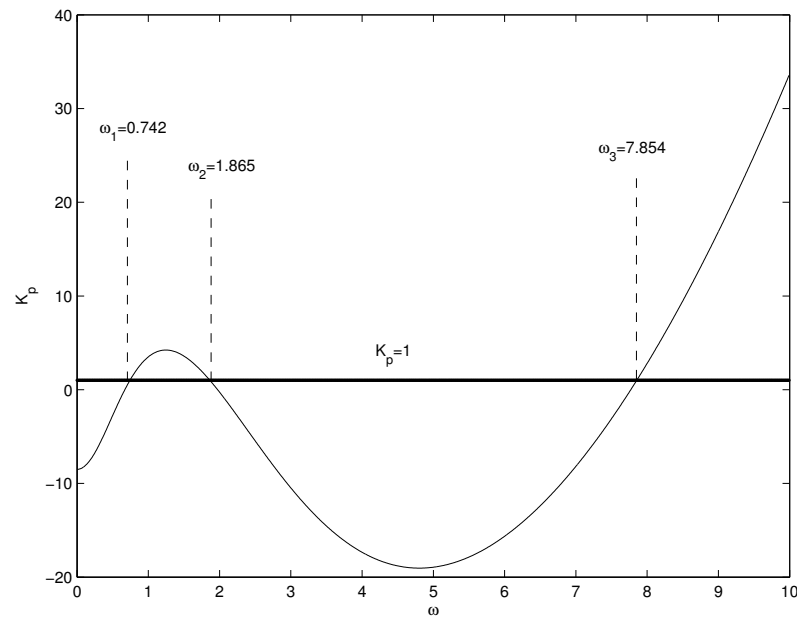
$$i_0 - 2i_1 + 2i_2 - 2i_3 + i_4 = 8.$$



## Example (continue...)

Fix  $K_p = 1$  and compute the set of  $\omega$ 's that satisfies

$$-\frac{\cos \phi(\omega) + \omega T \sin \phi(\omega)}{|P(j\omega)|} = 1.$$



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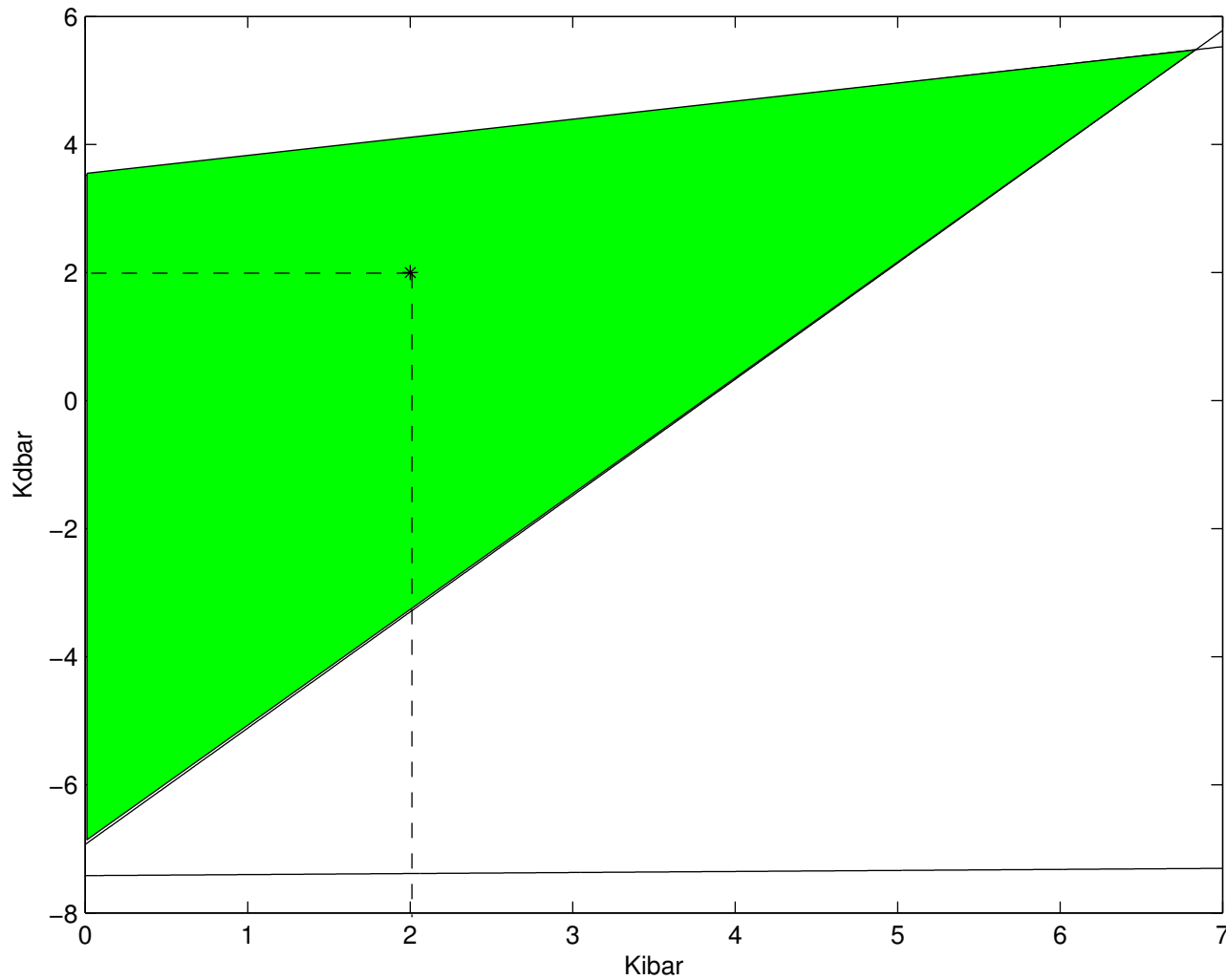
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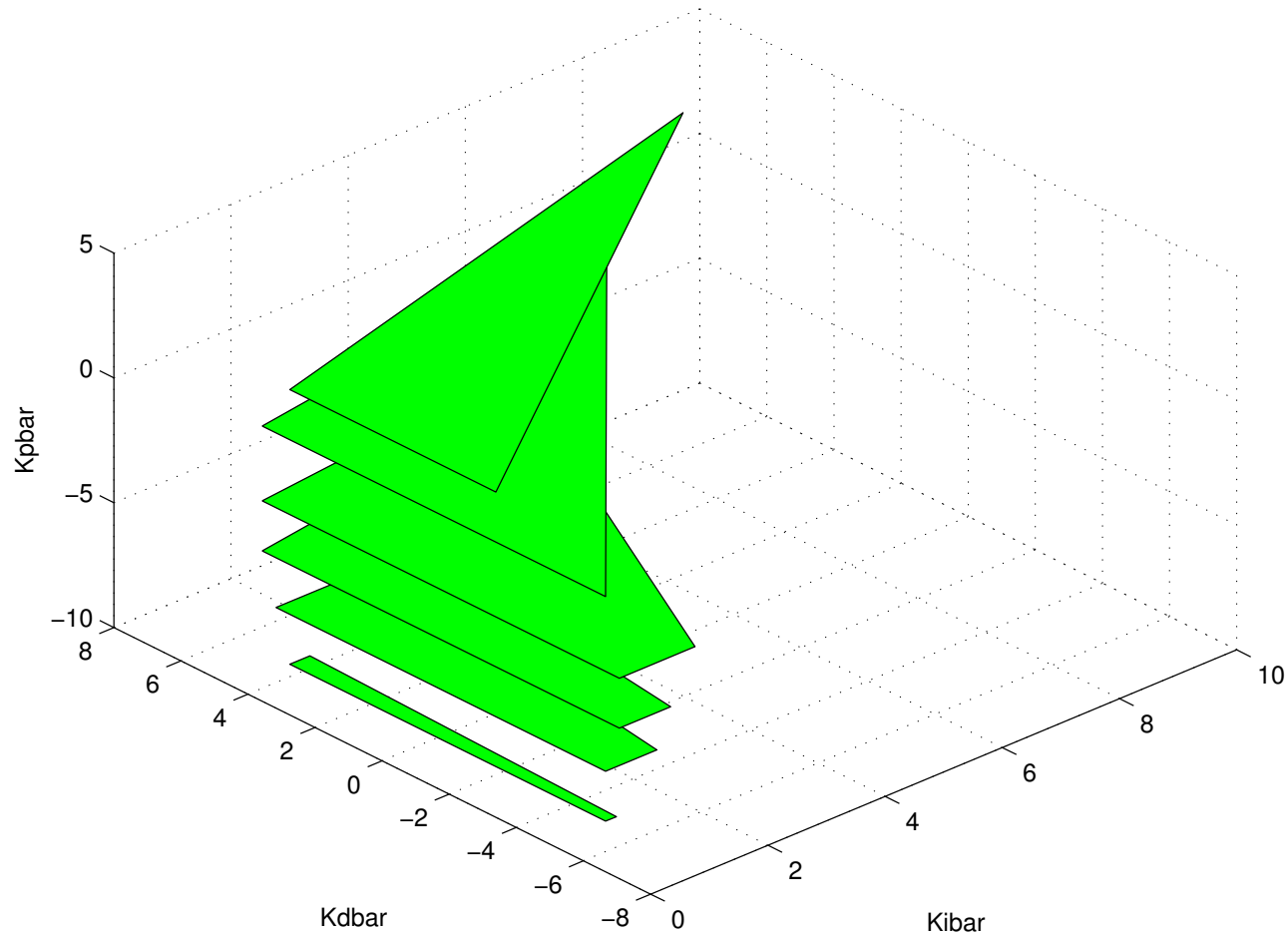
- Thus, we have the following set of linear inequalities for stability:

$$\begin{aligned} 0.0138K_i &> 0 \\ -0.1390 + 0.0364K_i - 0.0201K_d &< 0 \\ 0.2791 + 0.0229K_i - 0.0797K_d &> 0 \\ -0.1349 + 0.0003K_i - 0.0182K_d &< 0 \end{aligned}$$

# Example: Stabilizing PID Set for $K_p = 1$



# Example: Entire Stabilizing PID Set



# Performance Specifications

Many performance attainment problems can be cast as stabilization of families of real and complex plants. For example,

- The problem of achieving a gain margin is equivalent to stabilizing the family of *real* plants

$$\mathcal{P}^c(s) = \{KP(s) : K \in [K_{\min}, K_{\max}]\}.$$

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- The problem of achieving prescribed phase margin  $\theta_m$  is equivalent to stabilizing the family of *complex* plants

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- The problem of achieving an  $H_\infty$  norm specification on the sensitivity function  $S(s)$ , that is,  $\|W(s)S(s)\|_\infty < \gamma$  is equivalent to stabilizing the family of *complex* plants

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$$\mathcal{P}^c(s) = \left\{ P(s) \left[ 1 + \frac{1}{\gamma} e^{j\theta} W(s) \right] : \theta \in [0, 2\pi] \right\}.$$

# Assumption

The only information available to the designer is:

- Knowledge of the frequency response magnitude and phase, equivalently,  $P^c(j\omega)$ ,  $\omega \in (-\infty, +\infty)$ .
- Knowledge of the number of RHP poles,  $p^+$ .



# Determining Performance Set

- The complete set of stabilizing PID gains for a given complex LTI plant can be found from the frequency response data  $P^c(j\omega)$  and the knowledge of the number of RHP poles

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  - Fix  $K_p = K_p^*$  and solve

$$K_p^* = - \frac{\cos \phi(\omega) + \omega T \sin \phi(\omega)}{|P^c(j\omega)|}$$

and let  $\omega_1 < \omega_2 < \dots < \omega_{l-1}$  denote the distinct frequencies which are solutions of the above.

- Set  $\omega_0 = -\infty$ ,  $\omega_l = +\infty$  and determine all strings of integers  $i_t \in \{+1, 0, -1\}$  and  $j \in \{-1, +1\}$  such that

$$\sum_{r=1}^{l-1} (-1)^{l-1-r} i_r \cdot j = n_c - m_c + 2z_c^+ + 2$$

where  $n_c$  and  $m_c$  denote the numerator and denominator degrees of  $P^c(s)$  and  $z_c^+$  the number of RHP zeros.

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- For the fixed  $K_p = K_p^*$  chosen in Step 1, solve for the stabilizing  $(K_i, K_d)$  from:

$$\left[ K_i - K_d \omega_t^2 + \frac{\omega_t \sin \phi(\omega_t) - \omega_t^2 T \cos \phi(\omega_t)}{|P^c(j\omega)|} \right] i_t > 0$$

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for  $t = 0, 1, \dots$ .

- Repeat the previous three steps by updating  $K_p$  over prescribed ranges.

## Performance Example

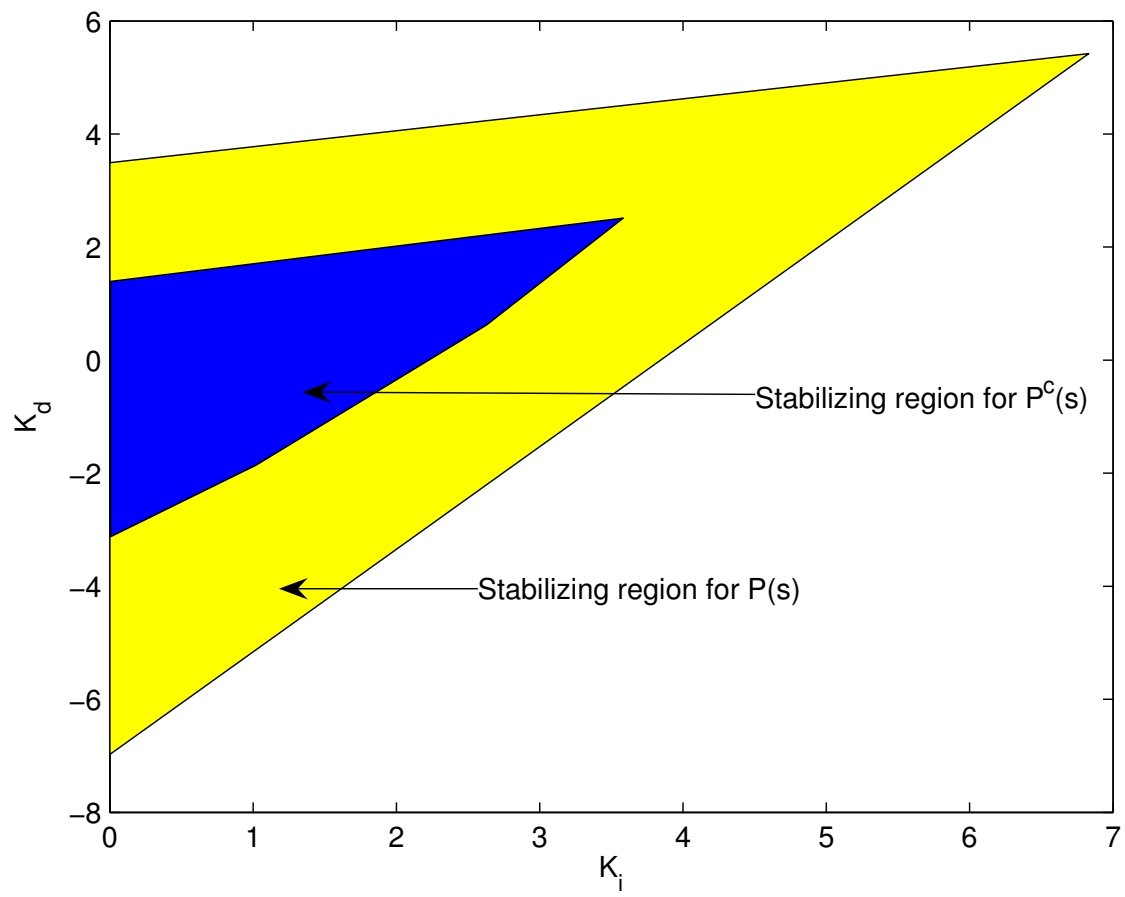
Taking the same frequency domain data set  $\mathbf{P}(j\omega)$  used in the previous example, we consider the problem of achieving an  $H_\infty$  norm specification on the complementary sensitivity function  $T(s)$ , that is,

$$\|W(s)T(s)\|_\infty < 1 \quad \text{where } W(s) = \frac{s + 0.1}{s + 1}.$$

By solving the complex stabilization problem, we have the stabilizing PID controller parameter region that satisfies the given  $H_\infty$  norm specification.

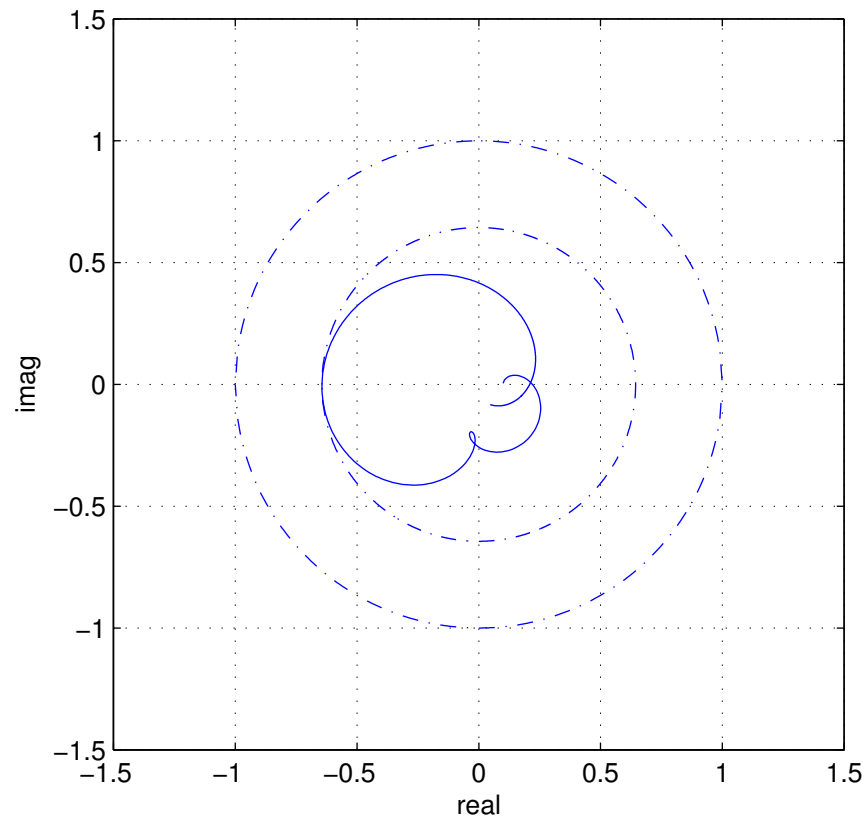


# The complete set of Stabilizing PID gains for $H_\infty$ specification when $K_p = 1$



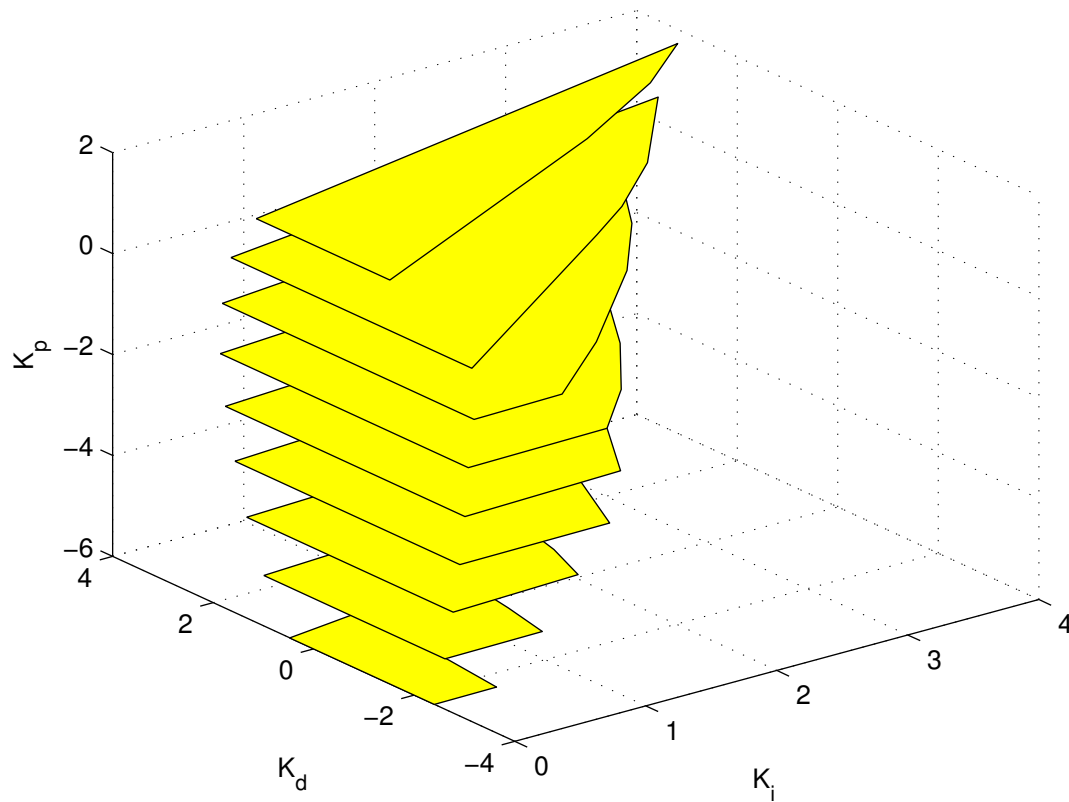
## Nyquist plot of $W(s)T(s)$

By selecting a point, we verify that the point selected satisfied the given  $H_\infty$  specification.



## Entire set of stabilizing PID gains satisfying the $H_\infty$ specification

By sweeping  $K_p$ , we have the entire stabilizing PID gains that satisfy the given  $H_\infty$  specification as shown in Figure.



## Example with Gain/Phase Margin Specification

Consider the following nonminimum phase plant:

$$P(s) = \left[ \frac{s^3 - 4s^2 + s + 2}{s^5 + 8s^4 + 32s^3 + 46s^2 + 46s + 17} \right] e^{-s}$$

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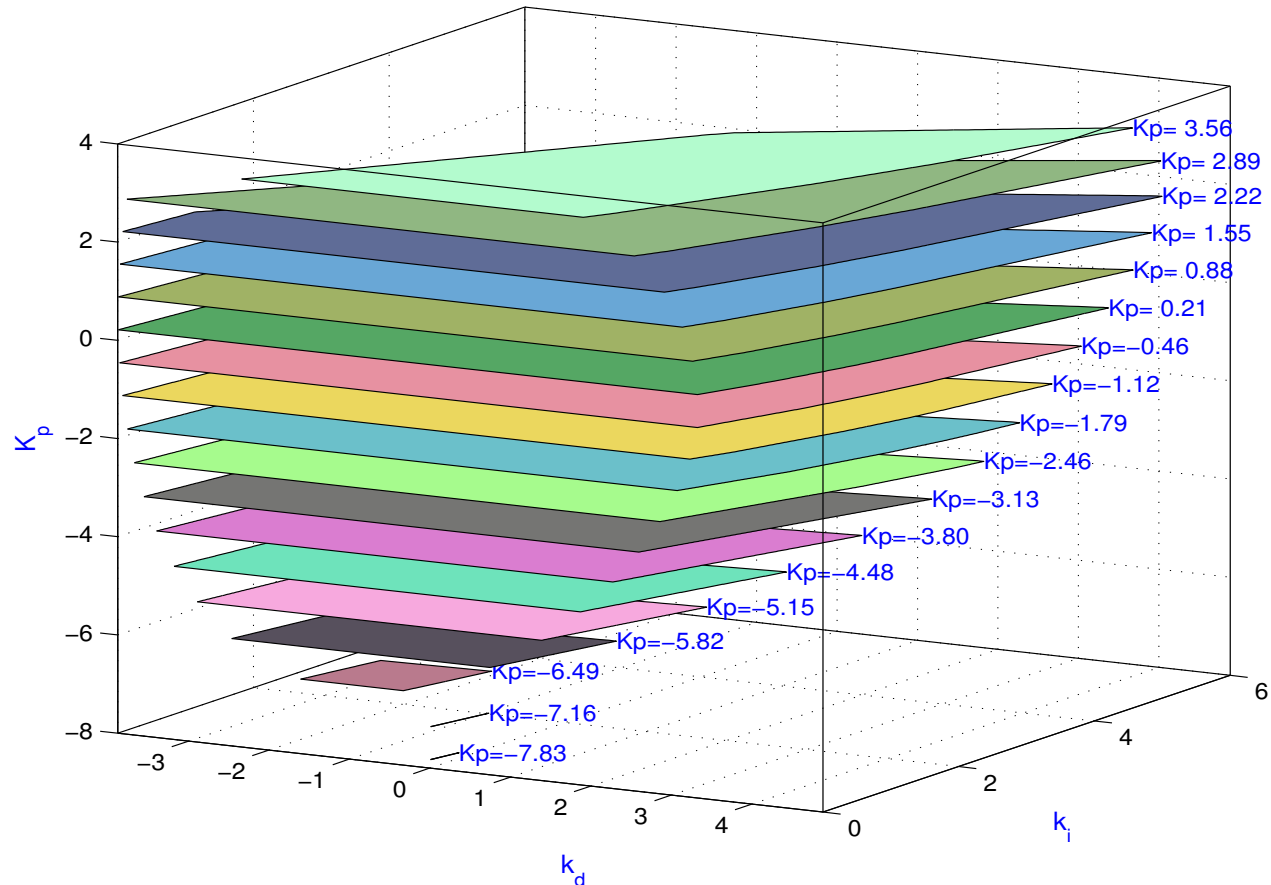
- PID controllers must stabilize the given plant with a delay
- The closed-loop system must guarantee the following gain and phase margins:
  - Gain margin :  $K^+ \geq 2$  (about 6 [dB])
  - Phase margin :  $[\theta^-, \theta^+] = [-10^\circ, 60^\circ]$

# (A) All Stabilizing PID Controllers

The feasible ranges of  $k_p$  given are:  $[-19.1, -8.5]$ ,  $[-8.5, 4.23]$ ,  $[4, 23, \infty]$ .

We can easily conclude that the only region that contains the solutions is  $[-8.5, 4.23]$ .

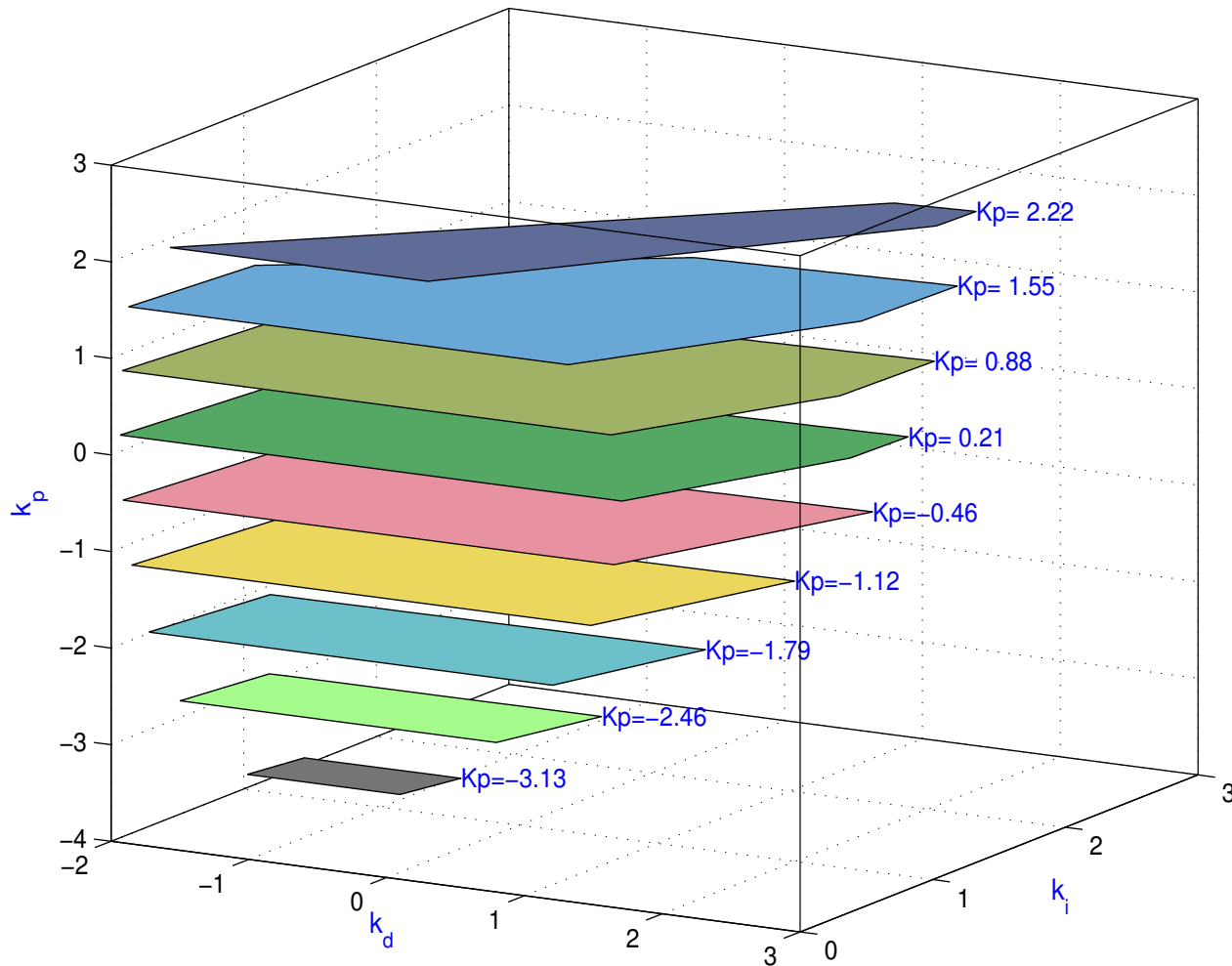
The complete set of stabilizing PID controllers is:





## (B) All PID Satisfying the GM and PM Requirement

We obtained the final result.



## 2-D Regions with $k_p = 0.88$

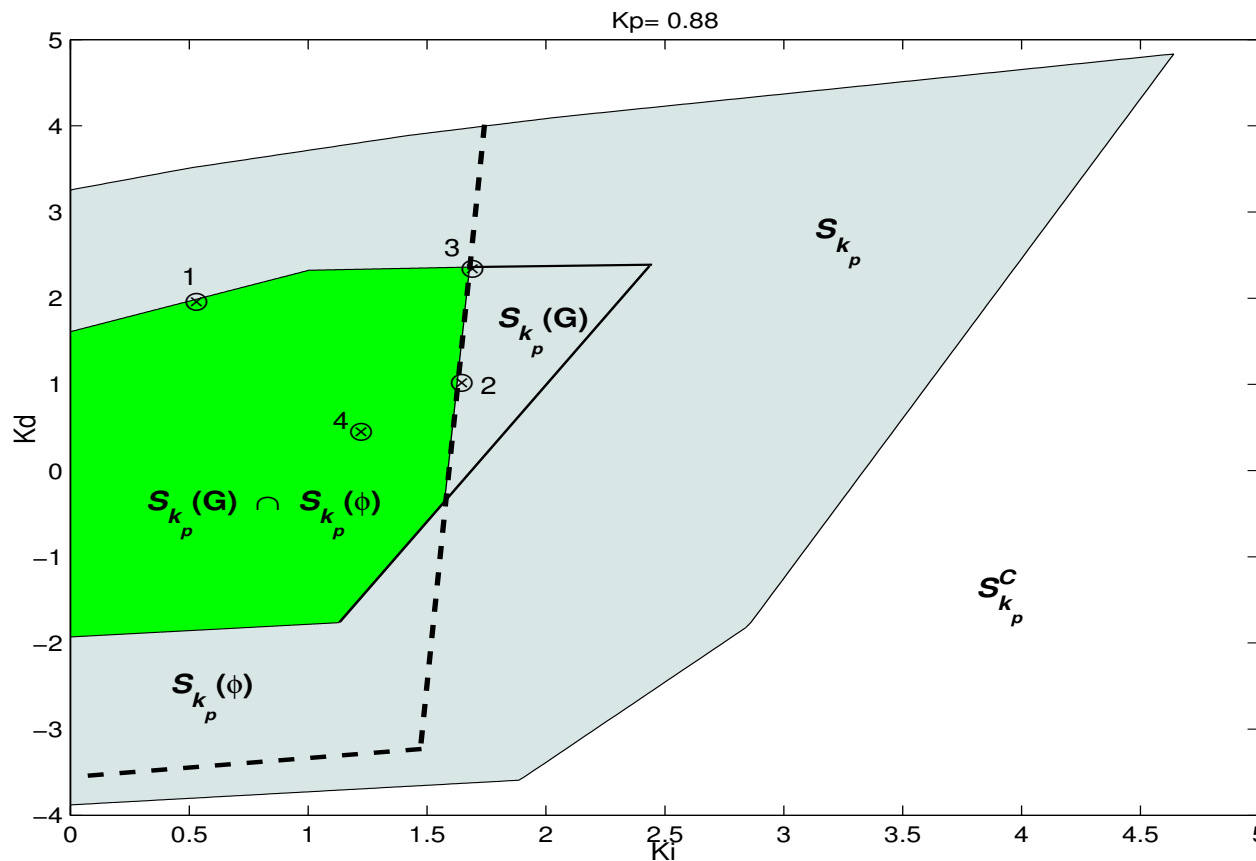
$\mathcal{S}_{k_p}$  : stable set with a fixed  $k_p$

$\mathcal{S}_{k_p}^C$  : unstable set

$\mathcal{S}_{k_p}(G)$  : set satisfying the GM requirement

$\mathcal{S}_{k_p}(\phi)$  : set satisfying the PM requirement

$\mathcal{S}_{k_p}(G) \cap \mathcal{S}_{k_p}(\phi)$  set satisfying both gain and phase margin requirements



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## Assumption

- The plant has no  $j\omega$  poles or zeros.
- Available frequency domain data  $P(j\omega)$  for  $\omega \in [0, \infty)$ .
- Knowledge of  $p^+$ .

# Root Invariant Regions

● Let

$$P(j\omega) = P_r(\omega) + jP_i(\omega)$$

$$F(s) = (s + x_3) + (sx_1 + x_2)P(s)$$

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$$\sigma(F(s)) = n + 1 - (p^- - p^+)$$

Let

$$\bar{F}(s) = F(s)P(-s)$$

and the **stability condition** is

$$\bar{F}(s) = (s + x_3)P(-s) + (sx_1 + x_2)P(s)P(-s)$$



## Root Invariant Region (continue...)

● In other words,

$$\begin{aligned}\bar{F}(j\omega, x_1, x_2, x_3) &= \underbrace{x_2|P(j\omega)|^2 + \omega P_i(\omega) + x_3 P_r(\omega)}_{\bar{F}_r(\omega, x_1, x_2, x_3)} \\ &\quad + j\omega \underbrace{(x_1|P(j\omega)|^2 - x_3 P_i(\omega) + P_r(\omega))}_{\bar{F}_i(\omega, x_1, x_2, x_3)}.\end{aligned}$$

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- The curves  $\bar{F}_r(\omega, \cdot) = 0$  and  $\bar{F}_i(\omega, \cdot) = 0$ ,  $0 \leq \omega < \infty$  along with the  $\bar{F}(0, \cdot) = 0$  and  $\bar{F}(\infty, \cdot) = 0$  partition the  $(x_1, x_2, x_3)$  parameter space into signature invariant regions.

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- By plotting these curves and selecting a test point from each of these regions we can determine the stability regions corresponding to those with signature equal to  $n - m + 2z^+ + 1$ .

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$$\Delta_0^\infty[\phi(\omega)] = - [(n - m) - 2(p^+ - z^+)] \frac{\pi}{2}.$$

3. Plot the curves below in the  $(x_1, x_2)$  plane for a fixed  $x_3$ .

$$x_3 + x_2 P(0) = 0$$

$$\begin{cases} x_1(\omega) = \frac{1}{|P(j\omega)|} \left( \frac{\sin \phi(\omega)}{\omega} x_3 - \cos \phi(\omega) \right), & \text{for } 0 < \omega < \infty \\ x_2(\omega) = -\frac{1}{|P(j\omega)|} (\cos \phi(\omega) x_3 + \omega \sin \phi(\omega)), & \text{for } 0 < \omega < \infty \end{cases}$$

$$1 + P(\infty)x_2 = 0.$$

## Procedure for First Order Controller Design (continue...)

- 4. The curves  $x_1(\omega)$  and  $x_2(\omega)$  partition the  $(x_1, x_2)$  plane into disjoint signature invariant regions. The stabilizing regions correspond to those for which  $\bar{F}(s)$  has a signature of  $n - m + 2z^+ + 1$ .

## Example

- For illustration, we have collected the frequency domain (Nyquist-Bode) data of a stable plant:

$$\mathbf{P}(j\omega) = \{P(j\omega) : \omega \in (0, 10) \text{ sampled every } 0.01\}.$$

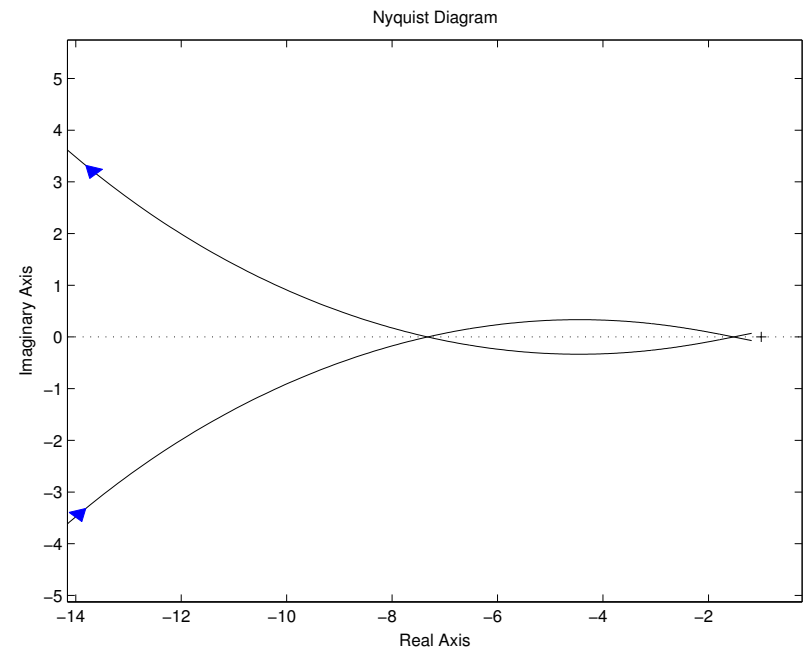
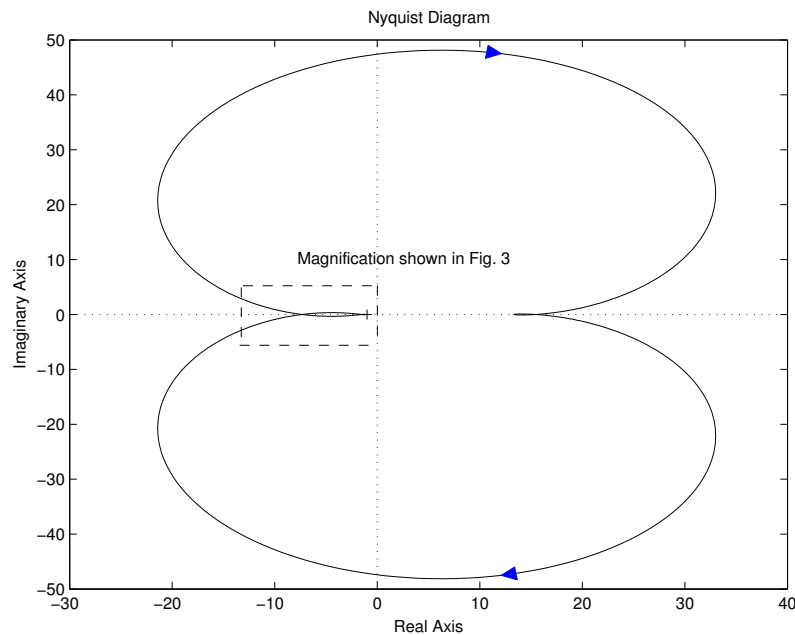


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- The Nyquist plot of the plant obtained is:



## Example (continue...)

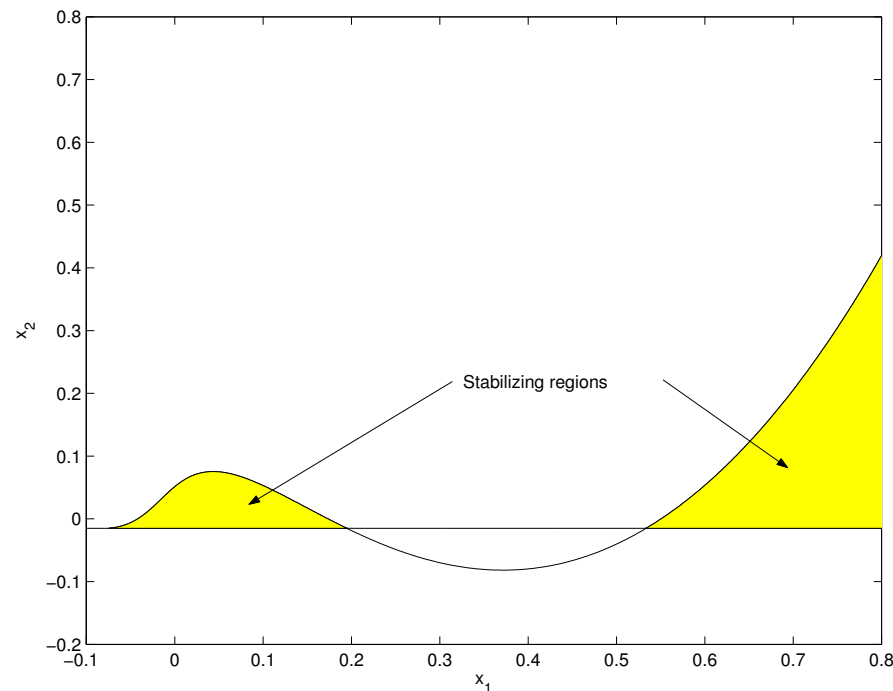
- From the data  $\mathbf{P}(j\omega)$ , we have  $P(0) = 13.333$  and  $P(\infty) = 0$ . Then it is easy to see that the straight line is not applicable.

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- By testing a point for each root invariant region, we have the following.



## Example (continue...)

- We now consider the problem of determining the entire set of first order stabilizing controllers satisfying the required closed-loop performance described by the requirement on the  $H_\infty$  norm of the weighted complementary sensitivity function:

$$\|W(j\omega)T(j\omega)\|_\infty < \gamma, \quad \text{for all } \omega$$

## Example (continue...)

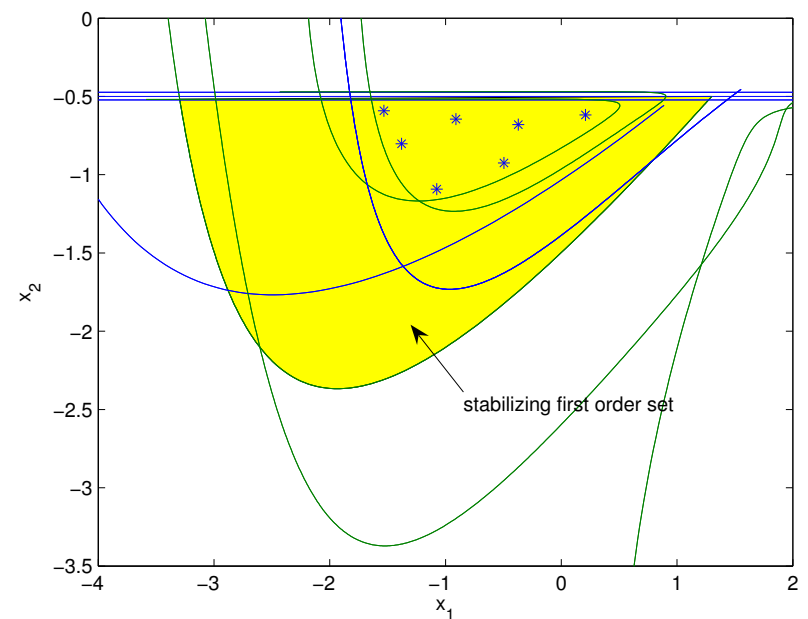
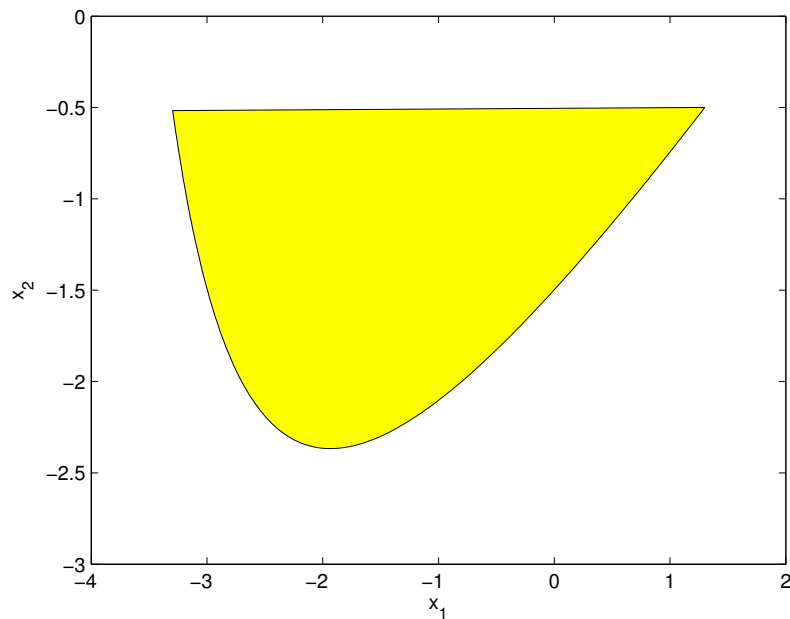
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$$\|W(j\omega)T(j\omega)\|_\infty < \gamma, \quad \text{for all } \omega$$

- This is equivalent to the problem of simultaneously stabilizing the specified complex family as well as the original plant  $P(s)$ .

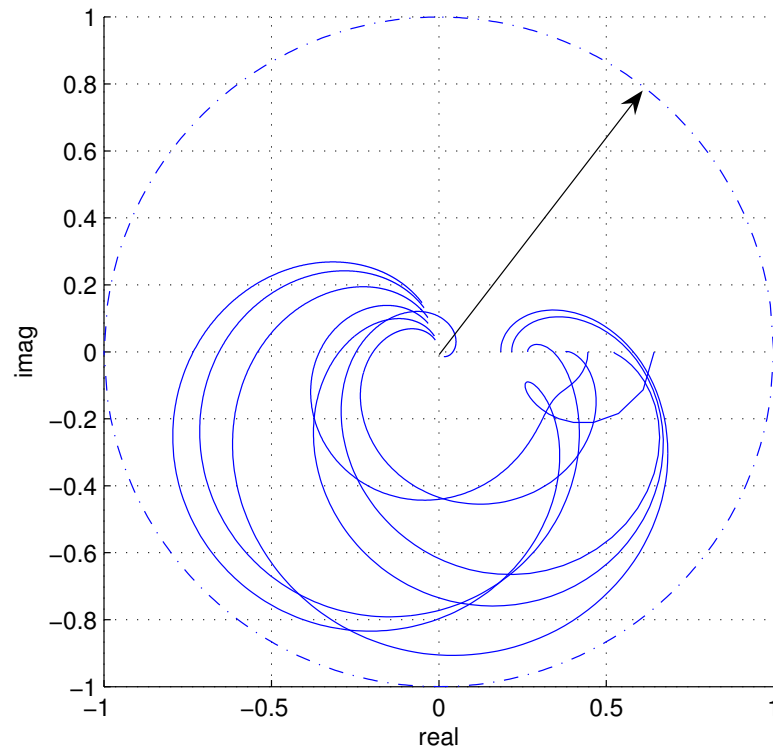
## Example (continue...)

- In this problem, we let  $\gamma = 1$  and  $x_3 = 2.5$ . We superimpose on the top of the stabilizing region shown (left) for the real plant, the stabilizing sets for the complex plant families  $\mathbf{P}_c(j\omega, \theta)$  for  $\theta = 0, \frac{\pi}{3}, \frac{2\pi}{3}, \pi, \frac{4\pi}{3}, \frac{5\pi}{3}, 2\pi$  are plotted (right).



## Example (continue...)

To verify, a number of points inside the performance region, construct the corresponding controllers, and Nyquist plots of  $W(s)T(s)$  have been plotted as shown. These points are shown as “\*” in (right). We observe from the Nyquist plots and every test set satisfies the  $H_\infty$  performance requirement.





# Example of FO Controller Design

Consider an unstable plant with time delay.

$$G(s) = \left[ \frac{s + 1}{s^4 + 8s^3 + 48s^2 + 46s - 1} \right] e^{-s}$$

The design specifications are given as follows:

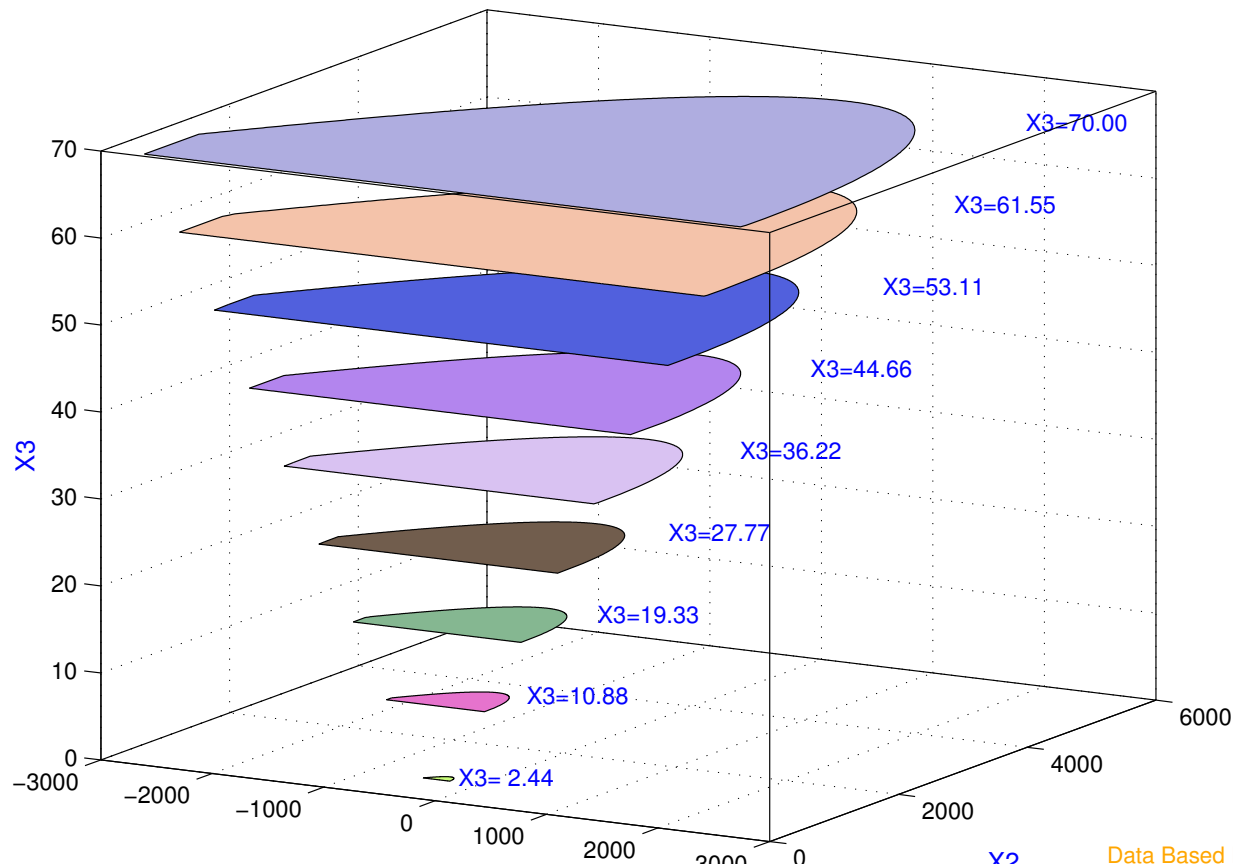
- the closed-loop system must satisfy the following gain and phase margin requirements:

# (A) The Complete Set of Stabilizing FO Controllers

The feasible range is found as:  $x_3 \in [-6, \infty]$ .

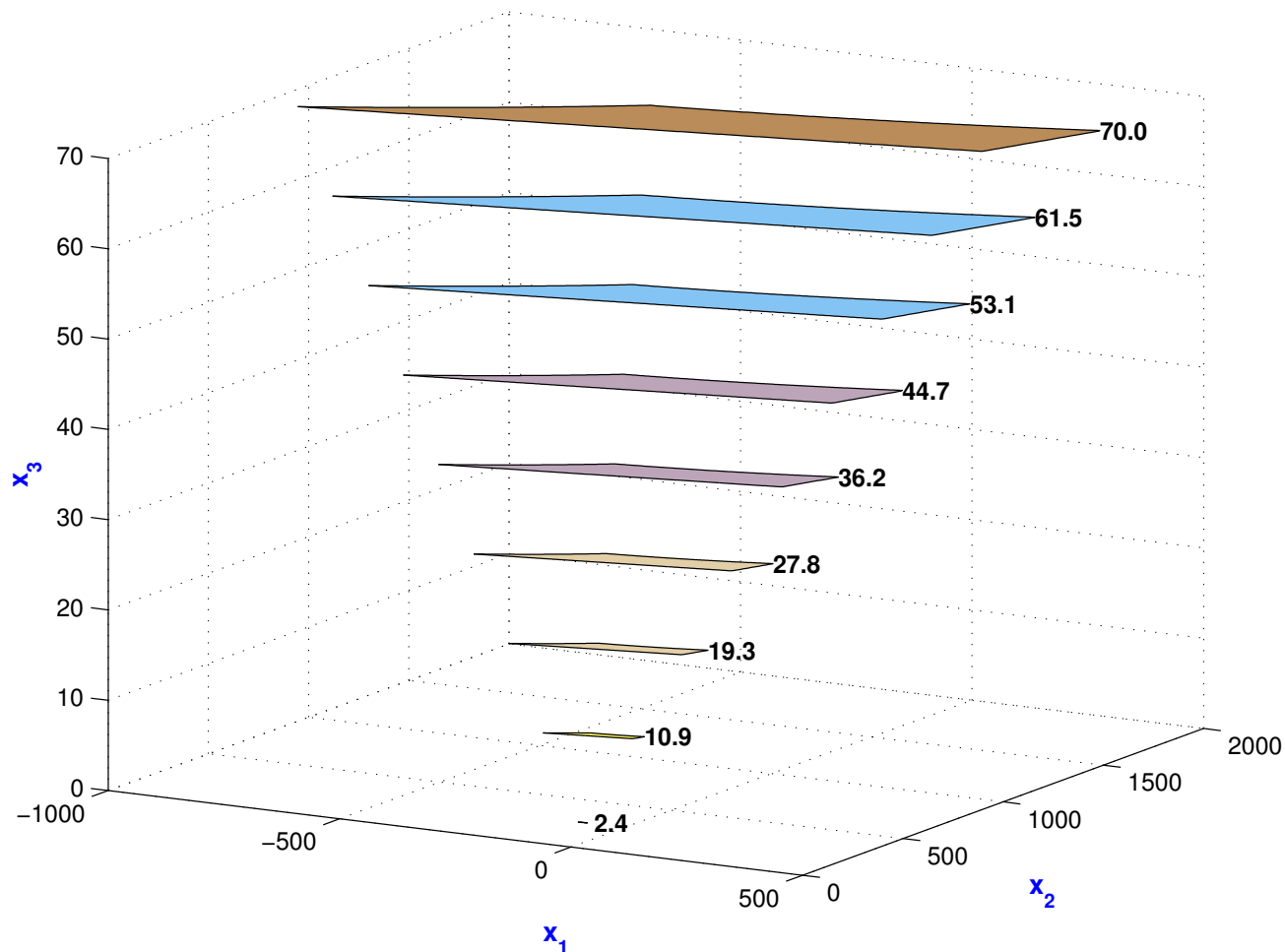
We chose to execute the algorithm for  $x_3 \in [-6, 70]$ .

Then we obtain the the set of FO Controller parameters so that the each and every corresponding closed-loop system satisfies the given gain and phase margin requirements.

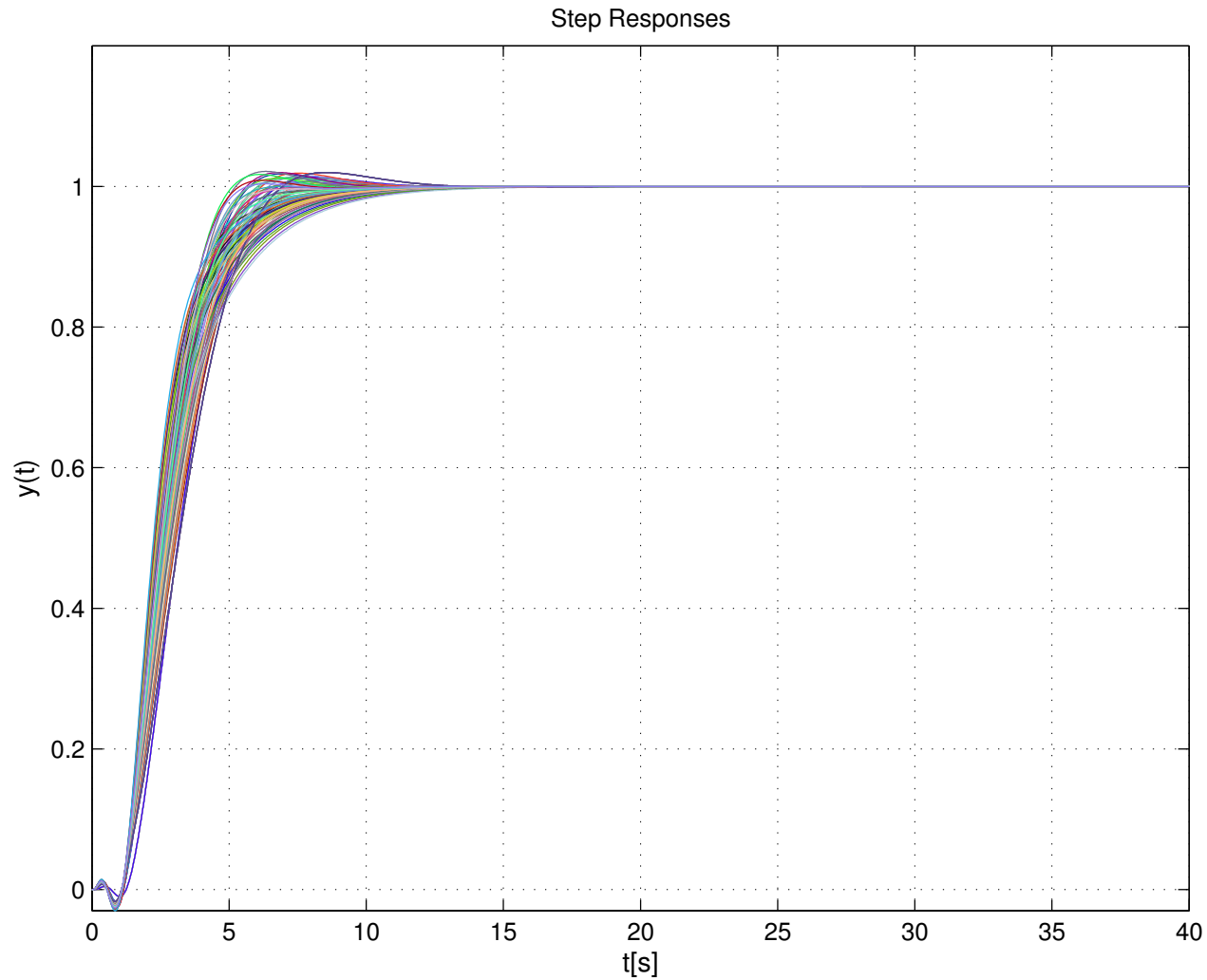


## (B) A Set of FOC that Meets the Desired Overshoot and Settling Time Requirements

Computing a feasible range of the generalized time constant based on the CRA, the feasible range of the time constant is obtained as:  $\tau \in [2.692, 6.258]$ .

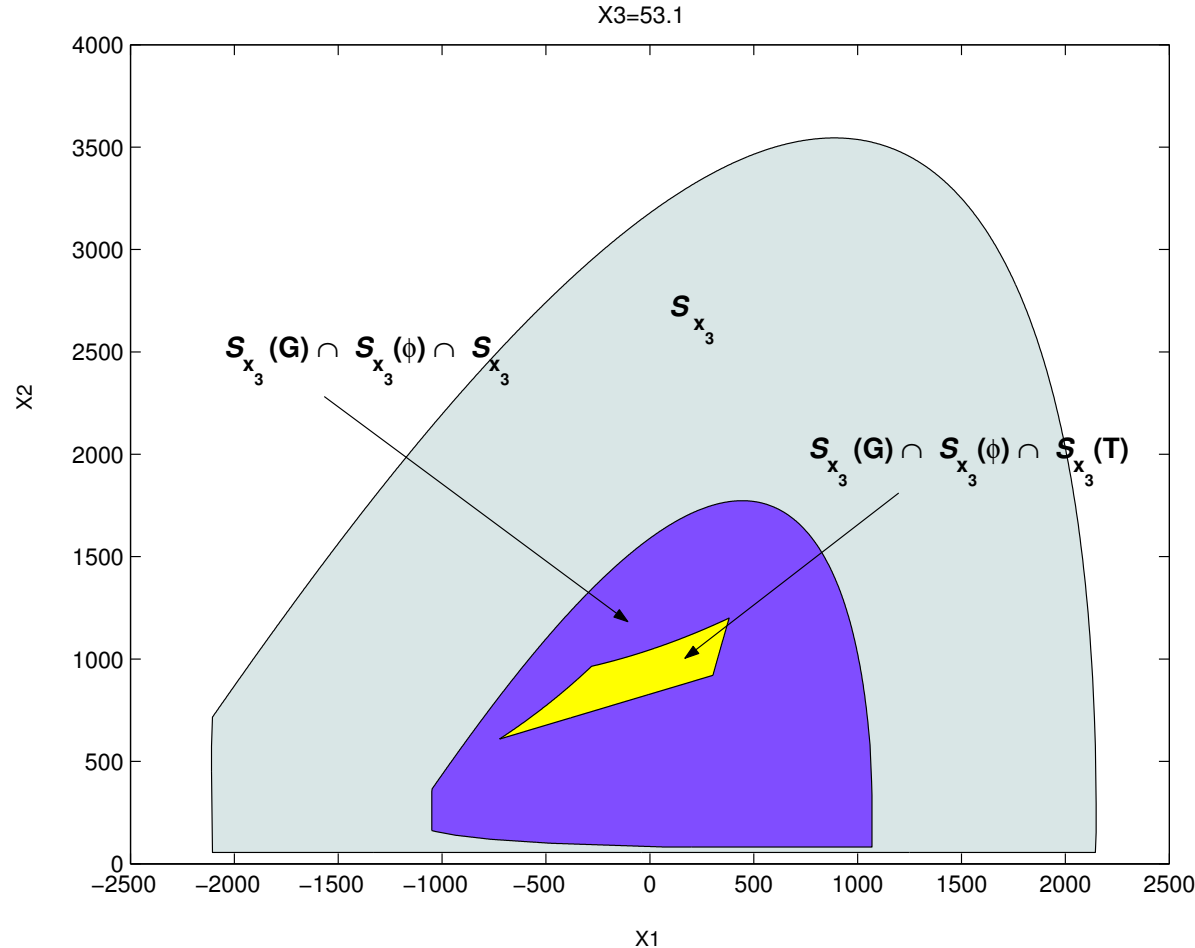


# Step responses with FO Controllers in $S^*$



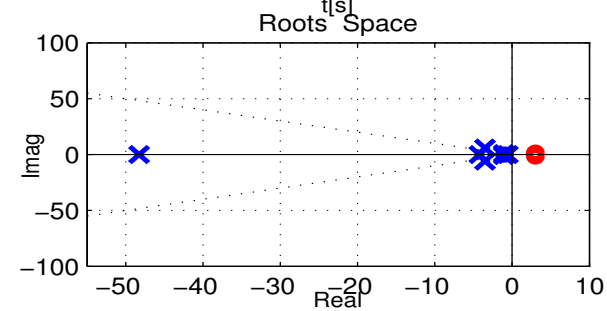
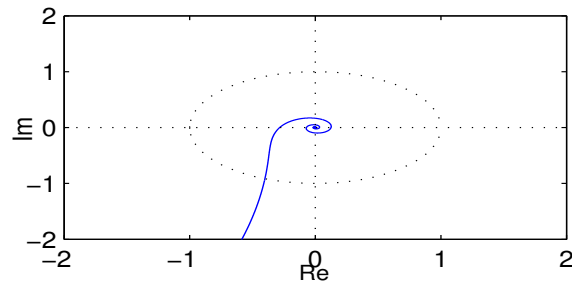
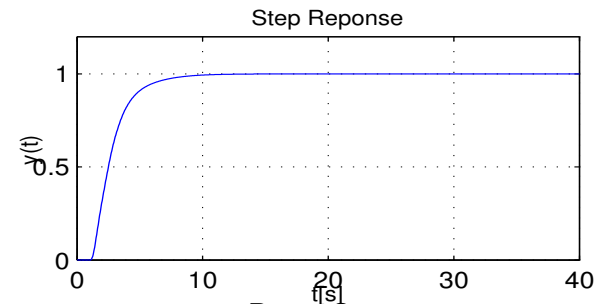
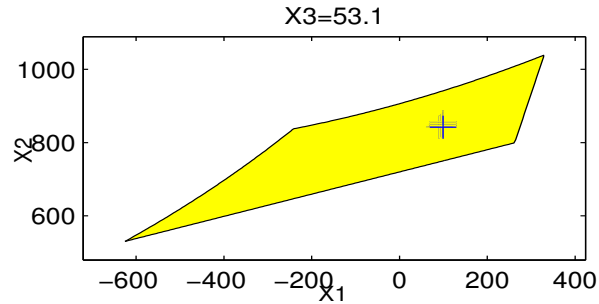
## 2-D set of FO Controllers, $S_{x_3}^*$ , when $x_3 = 53.1$

To proceed, we pick a FO Controller from the controller set  $S^*$  and examine various performance of the corresponding closed-loop system. In this example, we first select  $x_3 = 53.1$  and the corresponding 2-D set is depicted as in figure.



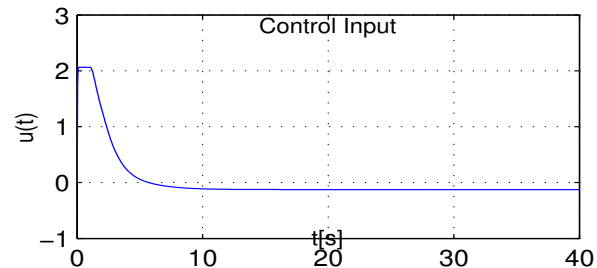
# Various characteristics of the CL system with the selected FOC

A selection of the controller point from the set  $\mathcal{S}_{x_3}^*$  with the fixed  $x_3$  enables us to display the following six figures displaying various characteristics of the closed-loop system with the FO Controller chosen.



## Gain and Phase Margin

Gm=(-24.8, 11.0) [dB]  
Pm=(-180.0, 66.4) [deg]



- The top left figure shows the controller set  $\mathcal{S}_{x_3}^*$  and “+” indicates the selected controller of the parameter values:

$$x_1 = 83.3, \quad x_2 = 831, \quad x_3 = 53.1.$$

- The top left figure shows the controller set  $\mathcal{S}_{x_3}^*$  and “+” indicates the selected controller of the parameter values:

$$x_1 = 83.3, \quad x_2 = 831, \quad x_3 = 53.1.$$

- The top right figure shows the step response of the closed-loop system. Two figures in the middle show the Nyquist plot, and the closed-loop poles and zeros. The bottom two figures show the values of gain and phase margins, and the control input signal, respectively.



# Data-Robust Design (An Example)

## What we know:

- $P(j\omega) \pm 20\%$  for all  $\omega$

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- $P(j\omega) \pm 20\%$  for all  $\omega$
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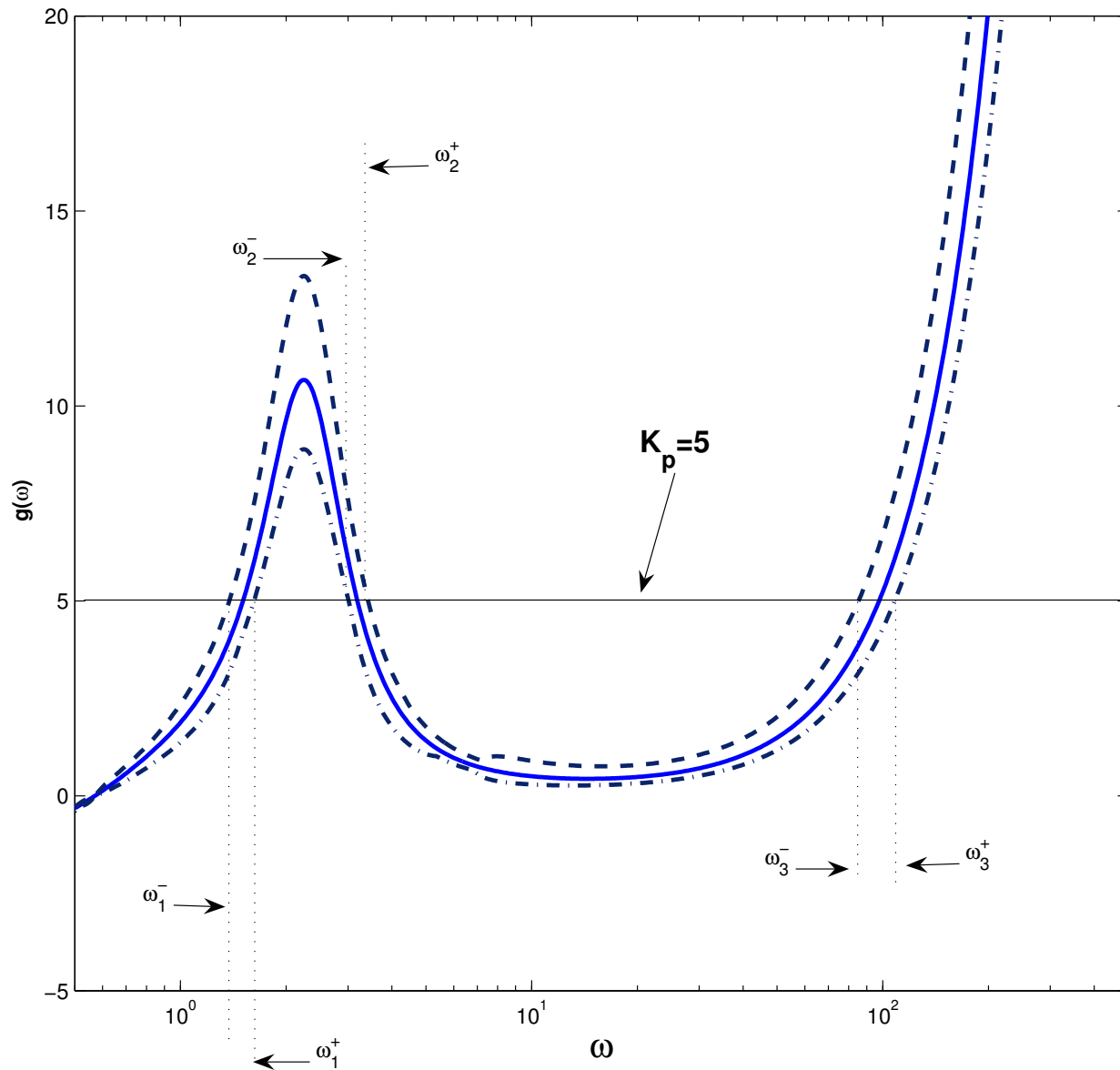
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We overbound the  $g(\omega)$  family:

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# $g(\omega)$ graph: $K_p = 5$

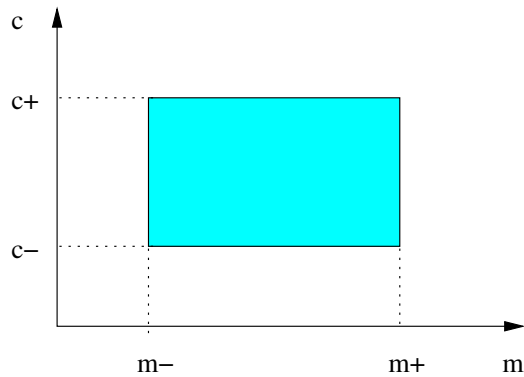


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$$y - \mathbf{m}x - \mathbf{c} > 0, \quad \mathbf{m} \in [m^-, m^+], \quad \mathbf{c} \in [c^-, c^+].$$

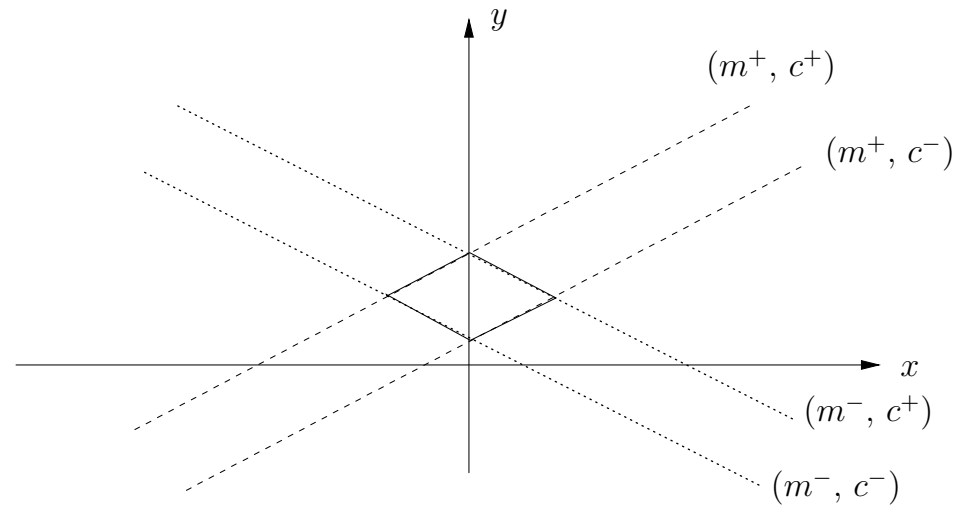
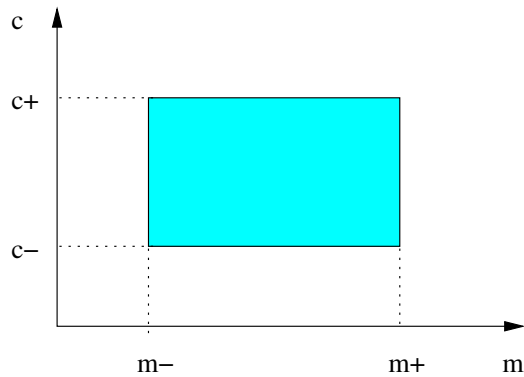
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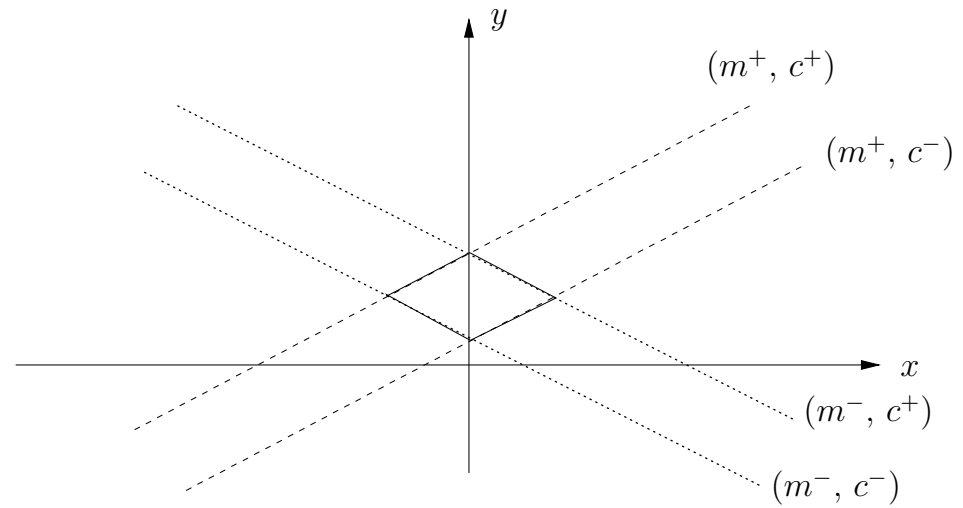
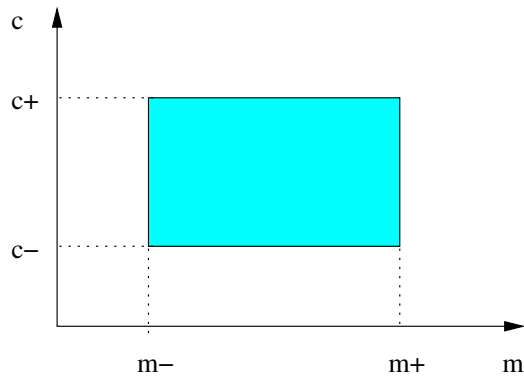
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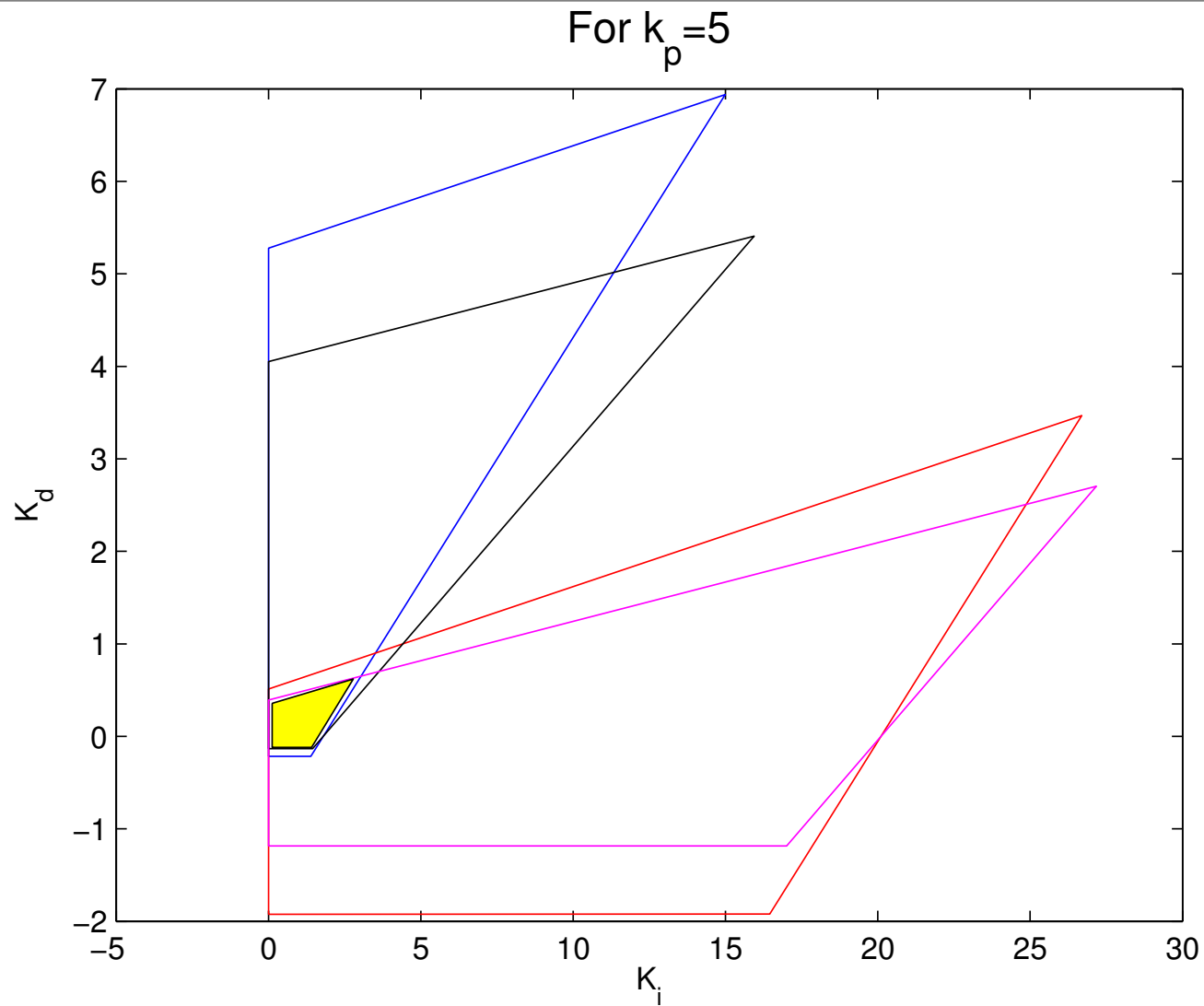
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# Stabilizing set for $K_p = 5$



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## Preliminaries: Tchebyshev Decomposition

Consider a real polynomial in  $z$ ,

$$P(z) = a_n z^n + a_{n-1} z^{n-1} + a_{n-2} z^{n-2} + \cdots + a_1 z + a_0.$$



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Let

$$u = -\cos \theta,$$

then we have

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$$s_k(u) = -\frac{1}{K} \cdot \frac{dc_k(u)}{du}, \quad c_{k+1}(u) = -uc_k(u) - (1-u^2)s_k(u), \quad k = 1, 2, \dots$$

## Preliminaries (continue ...)

Consider a real rational function

$$Q(z) = \frac{P_1(z)}{P_2(z)}$$

where  $P_1(z)$  and  $P_2(z)$  are polynomials of real coefficients with degrees  $m$  and  $n$ , respectively. Assume that  $P_1(z)$  and  $P_2(z)$  have no zeros on the unit circle.



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$$D(u) = R_2^2(u) + (1-u^2)T_2^2(u)$$

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Let  $t_1, \dots, t_k$  denote the real distinct zeros of  $T(u)$  of odd multiplicity ordered as

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## Schur Signature Lemma

Let  $Q(z)$  be a real rational function with  $i_z$  zeros and  $i_p$  poles, respectively, inside the unit circle  $\mathcal{C}$  and no zeros/poles on the unit circle and

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The signature is

$$\begin{aligned} \sigma[Q(z)] &= i_z - i_p \\ &= \frac{1}{2} \operatorname{sgn} [T^{(p)}(-1)] \left( \operatorname{sgn}[R(-1)] + 2 \sum_{j=1}^k (-1)^j \operatorname{sgn}[R(t_j)] + (-1)^{k+1} \operatorname{sgn}[R(+1)] \right). \end{aligned}$$

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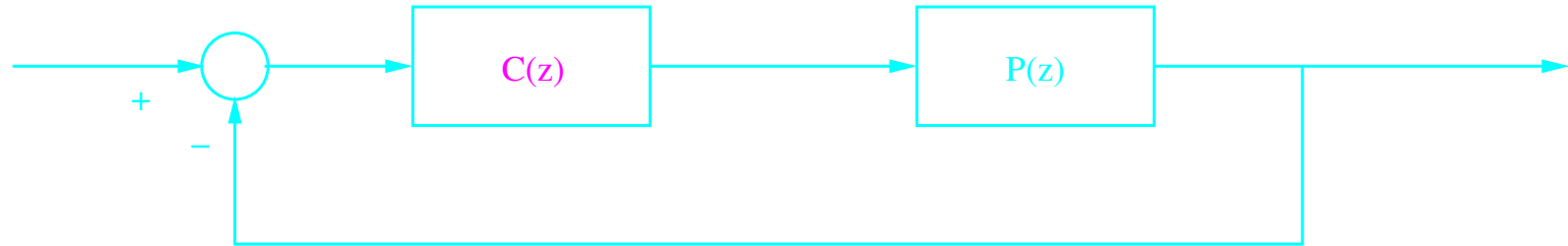
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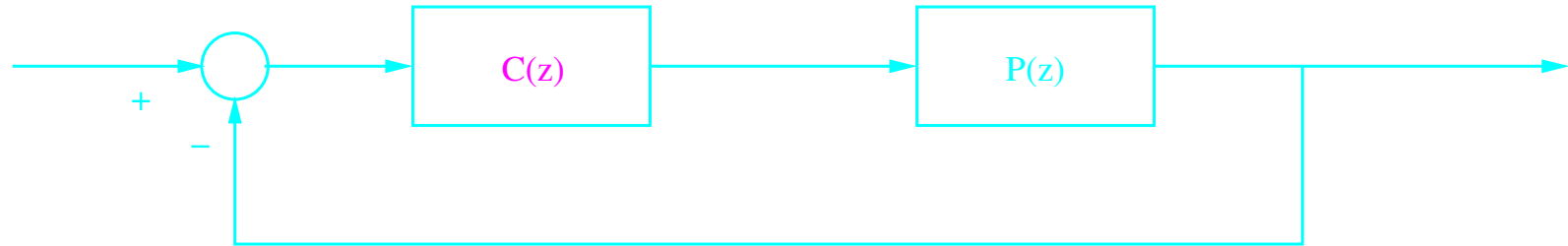
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# PID Controller Design for Discrete-time Systems





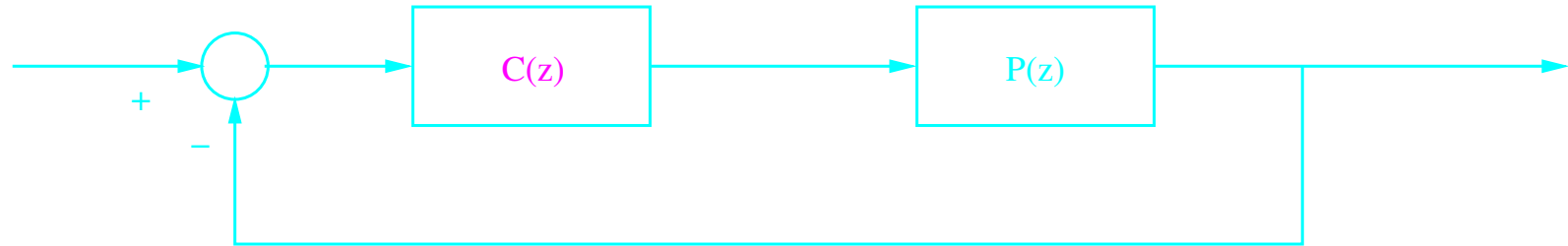
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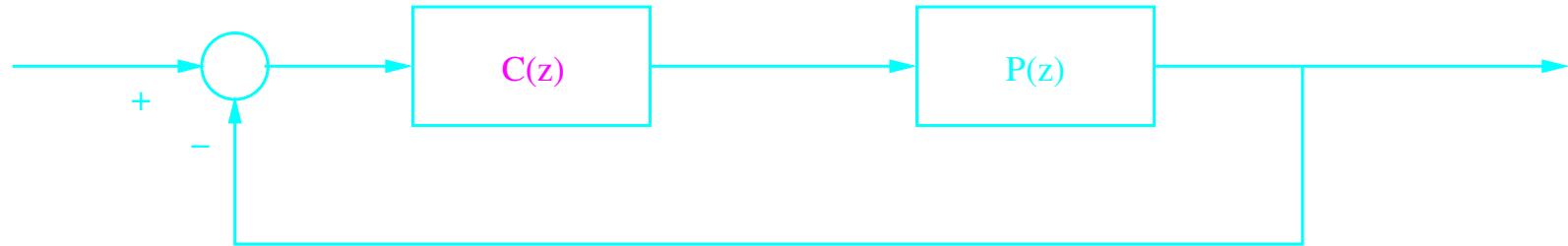
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## PID Controller Design (continue ...)

The closed-loop characteristic polynomial is

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Closed-loop stability requires  $\sigma(\delta) = n + 2$ .

## PID Controller Design (continue ...)

The closed-loop characteristic polynomial is

$$\delta(z) := z(z - 1)D(z) + (K_2 z^2 + K_1 z + K_0) N(z).$$

Closed-loop stability requires  $\sigma(\delta) = n + 2$ .

Consider

$$\Pi(z) = \frac{\delta(z)}{D(z)} = z(z - 1) + (K_2 z^2 + K_1 z + K_0) P(z)$$

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Consequently, the stability requires that

$$\sigma(\Pi) = n + 2 - i_p$$

where  $i_p$  is the number of poles of the plant located inside unit circle.

# Stability Condition with PID Controllers

Let  $P(z)$  be the plant with relative degree  $r$ . Let the PID controller be

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Then the closed-loop system is stable if and only if

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where

$$\begin{aligned}\nu(z) &= z^{-1}P(z^{-1})\Pi(z) \\ \Pi(z) &= z(z-1) + (K_2 z^2 + K_1 z + K_0)P(z)\end{aligned}$$

and  $o_z$  is the number of non-minimum phase zeros of  $P(z)$ .

## PID Controller Design (continue ...)

Now

$$\begin{aligned} \nu(z)|_{z=-u+jv} &= z^{-1}P(z^{-1})\Pi(z)|_{z=-u+jv} \\ &= [z^{-1}P(z^{-1}) + (K_0z^{-1} + K_1 + K_0z)P(z)P(z^{-1})]_{z=-u+jv} \\ &= (-u - 1 + jv)(R_p(u) - jvT_p(u)) + [K_0(-u - jv) + K_1 + K_2(-u + jv)]m^2(u) \end{aligned}$$

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$$\nu(z) = R_\nu(u, K_0, K_1, K_3) + jvT_\nu(u, K_3)$$

where

$$K_3 := K_2 - K_0$$

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$$\begin{aligned}R_{\nu}(u, K_0, K_1, K_3) &= -(u-1)R_p(u) - (1-u^2)T_p(u) + K_1 m^2(u) \\ &\quad - u(2K_0 + K_3)m^2(u) \\ T_{\nu}(u, K_3) &= R_p(u) - (u+1)T_p(u) + K_3 m^2(u).\end{aligned}$$

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# Algorithm: PID Controller Design

- For fixed  $K_3 = K_3^*$ , solve

$$K_3^* = \frac{-R_p(u) + (u + 1)T_p(u)}{m^2(u)} = g(u)$$

determine the roots  $u_1, u_2, \dots$

$$u_0 = -1 < u_1 < u_2 < \dots < u_l < u_{l+1} = +1$$

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- Develop linear inequalities corresponding to stability as follows. Let

$$I^j = \{i_0^j, i_1^j, \dots, i_l^j, i_{l+1}^j\}$$

denote a string where  $i_i^j \in \{0, 1, -1\}$  such that

$$i_0^j - 2i_1^j + 2i_2^j - \dots + (-1)^{l+1}i_{l+1}^j = r + o_z + 1.$$



## Algorithm: PID Controller Design (continue ...)

- For each string  $I^j$  satisfying the above, we have the set of inequalities

$$\text{sgn} [R_\nu (u_t, K_0, K_1, K_3^*)] i_t^j > 0$$

which is a set of linear inequalities in  $K_0, K_1$  space for fixed  $K_3^*$ .

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- By sweeping over  $K_3$  we can generate the complete set.
- The range of  $K_3$  to be swept is determined by the requirement that  $g(u)$  should have  $\frac{o_z + 1}{2}$  roots at least.

# An Example: PID Design

*Available Information:*

- Frequency domain data

$$\mathbf{P}(e^{j\omega T}) := \left\{ P(e^{j\omega T}), \omega = \frac{2\pi}{T} \text{ sampled every } T = 0.01 \right\}.$$

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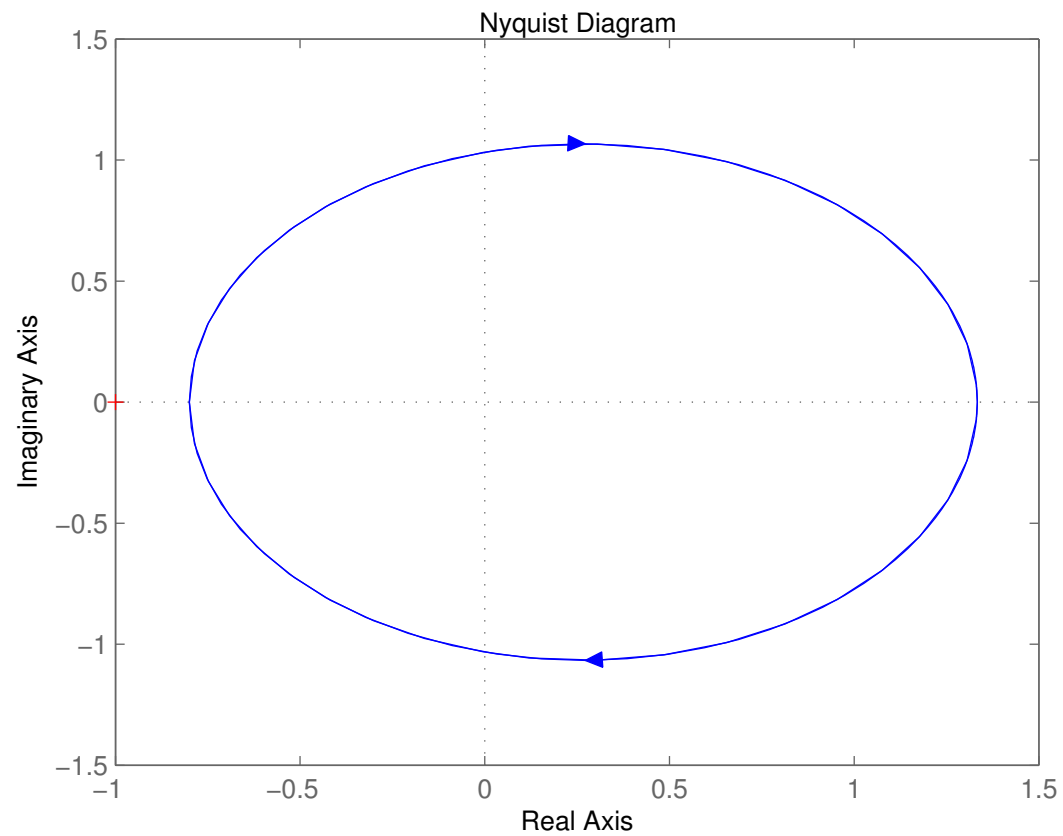
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- The relative degree of the plant is 2, that is,  $r = 2$ .

## An Example: PID Design (continue ...)

The Nyquist plot of the plant  $P(z)$ :





## An Example: PID Design (continue ...)

- Net phase:

$$\Delta_0^\pi \angle P(e^{j\theta}) = -\pi [r + (o_z - o_p)] := -2\pi. \Rightarrow o_z = 2 - r + o_p = 2 - 2 + 0 = 0.$$

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- Applying this to the signature lemma,

$$\frac{1}{2} \operatorname{sgn}[T(-1)] \left( \operatorname{sgn}[R(-1)] - 2\operatorname{sgn}[R(t_1)] + 2\operatorname{sgn}[R(t_2)] - \cdots \operatorname{sgn}[R(1)] \right) := 3$$

where  $t_i$  are the zeros of  $g(u)$  in  $g(u)$  for fixed  $K_3$ .

## An Example: PID Design (continue ...)

- It is easy to see that at least two zeros  $t_i$  are required and also that the only feasible string of sign sequences is:

sgn of	$T(-1)$	$R(-1)$	$R(t_1)$	$R(t_2)$	$R(1)$
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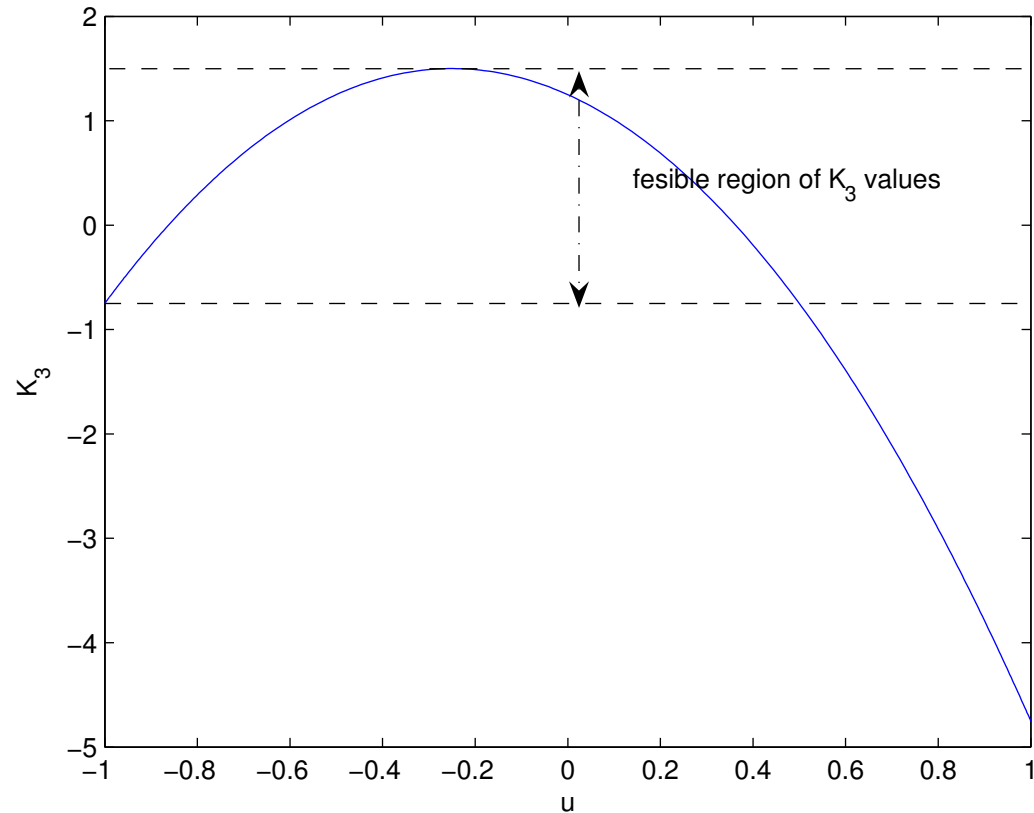
- The feasible range of  $K_3$  values is that corresponding to the requirement of two zeros in  $T(u)$ .
- Plot the right hand side of  $g(u)$ :

$$g(u) = \frac{1}{|P_c(u)|^2} \left( -P_r(u) - (1+u)P_i(u) \right) = K_3$$

where

$$P(e^{j\omega T})|_{\omega T=\theta} = P(e^{j\theta})|_{u=-\cos\theta} = P_r(u) + j\sqrt{1-u^2}P_i(u).$$

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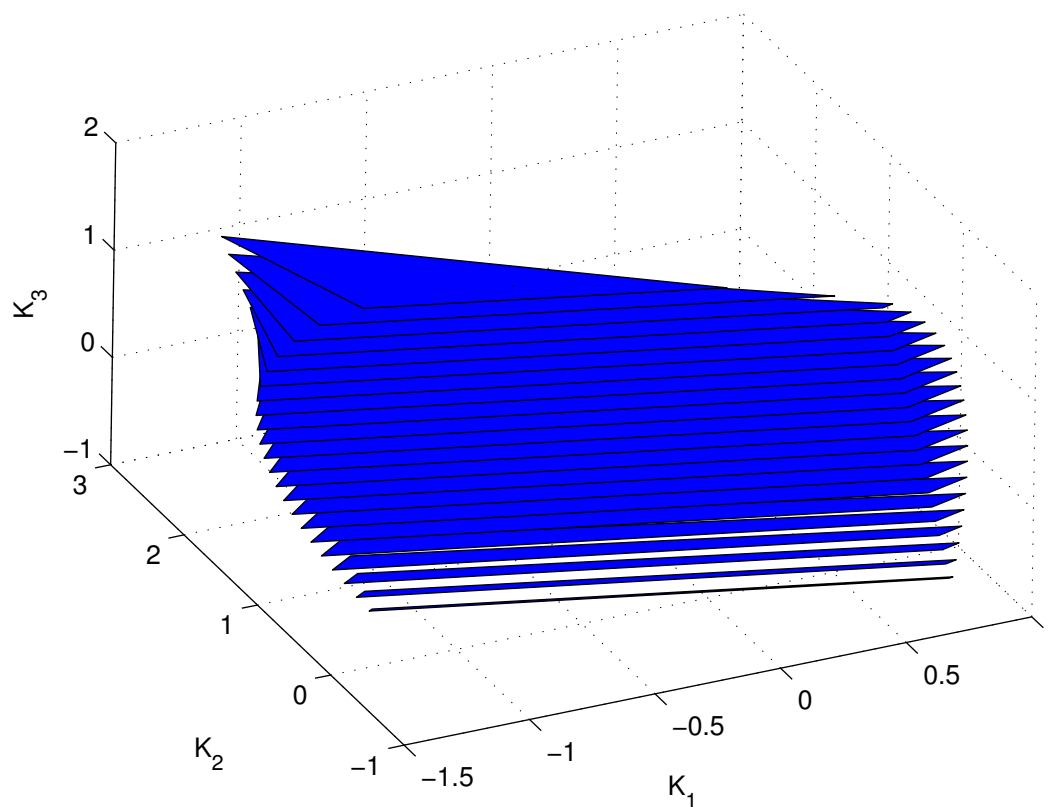
$$u = -0.4736 := t_1, \quad u = -0.0264 := t_2.$$

- Then the set of linear inequalities corresponding to  $K_3 = 1.3$  is

$$\begin{aligned} T(-1) &= 1 \\ R(-1) &= -2.3111 + 1.7778K_1 + 3.5556K_2 > 0 \\ R(-0.4736) &= -0.6939 + 0.7473K_1 + 0.7078K_2 < 0 \\ R(-0.0264) &= 0.7226 + 0.6403K_1 + 0.0338K_2 > 0 \\ R(1) &= -0.3556 + 1.7778K_1 - 3.5556K_2 < 0 \end{aligned}$$

## An Example: PID Design (continue ...)

By sweeping  $K_3$  over  $(-0.7, 1.4)$ , we have the stabilizing PID parameter regions shown:



# 1st Order Controllers for Discrete-time Systems

- Consider the frequency response of the discrete-time plant  $P$ :

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- Consider the real rational function

$$F(z) = (z + x_3) + (zx_1 + x_2) P(z).$$

# Stability Condition with First Order Controllers

Let  $P(z)$  be the plant with the number of unstable poles being  $o_p$ . Let the first order controller be

$$C(z) = \frac{x_1 z + x_2}{z + x_3}.$$

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$$\sigma(\Pi) = o_p + 1$$

where

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and  $o_p$  is the number of non-minimum phase poles of  $P(z)$ .

# 1st Order Controllers Design

Consider

$$\Pi(z) = (z + x_3) + (zx_1 + x_2)P(z)$$

and

$$\begin{aligned} & \Pi(z)|_{z=-u+jv} \\ = & (-u + x_3 + jv) + \left(-ux_1 + x_2 + jvx_1\right) \left(R_p(u) + jvT_p(u)\right) \\ = & (x_3 - u) + R_p(u)x_2 - \left(uR_p(u) + v^2T_p(u)\right)x_1 \\ & + jv \left[ \left(R_p(u) - uT_p(u)\right)x_1 + T_p(u)x_2 + 1 \right]. \end{aligned}$$



# Stability Conditions

For complex root crossing, we now have the expression of the curve in  $(x_1, x_2)$  space for every fixed  $x_3$ .

$$\underbrace{\begin{bmatrix} -\left(uR_p(u) + v^2T_p(u)\right) & R_p(u) \\ v\left(R_p(u) - uT_p(u)\right) & vT_p(u) \end{bmatrix}}_{A(u)} \begin{bmatrix} x_1(u) \\ x_2(u) \end{bmatrix} = \begin{bmatrix} -(x_3 - u) \\ -v \end{bmatrix}.$$

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the solution of the above is

$$\begin{bmatrix} x_1(u) \\ x_2(u) \end{bmatrix} = -\frac{1}{\left|P(e^{j\theta})\right|^2} \begin{bmatrix} (u - x_3)T_p(u) + R_p(u) \\ (1 - ux_3)T_p(u) + x_3R_p(u) \end{bmatrix}.$$

## Stability Conditions (continue ...)

The two straight lines representing the real root crossing can be obtained by letting  $u = -1$  and  $u = 1$ , equivalently letting  $\theta = 0$  and  $\theta = \pi$ .

$$(x_3 - 1) + P(e^{j0})(x_2 - x_1) = 0$$

$$(x_3 - 1) + P(e^{j\pi})(x_2 - x_1) = 0.$$

# An Example: First Order Controller Design

*Available Information:*

- Frequency domain data

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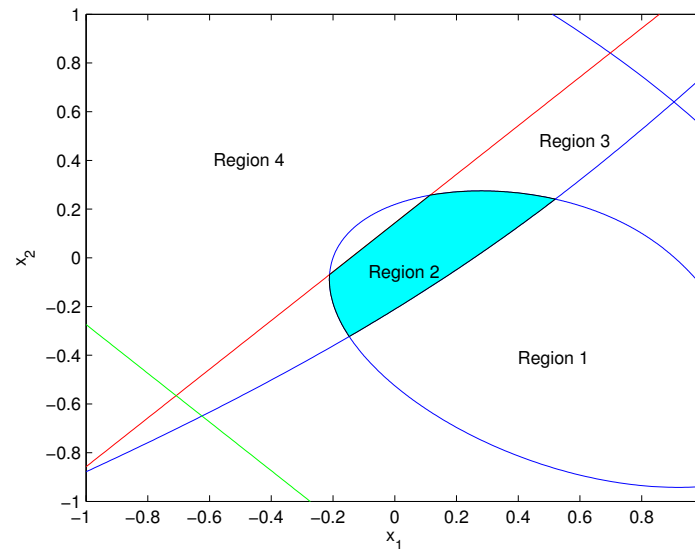
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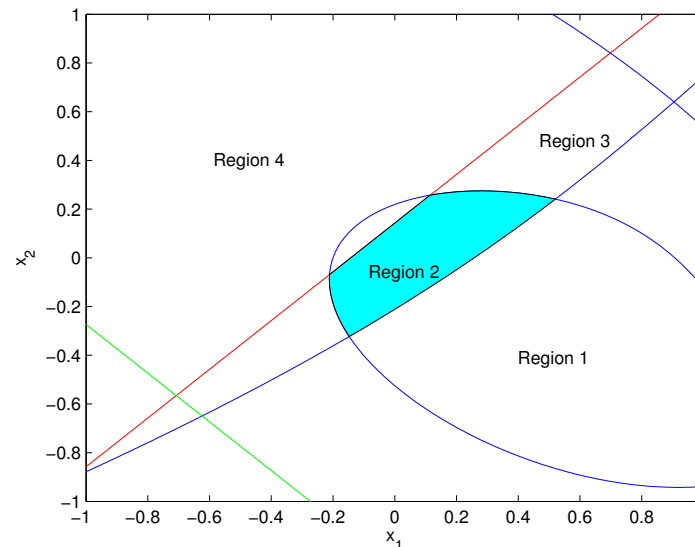
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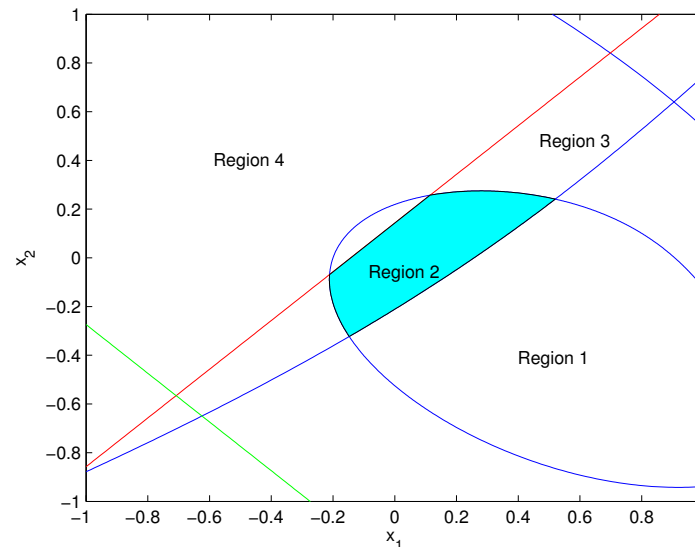


- Each separated region represents a set of controller parameters that gives a fixed number of unstable poles of the closed-loop system.



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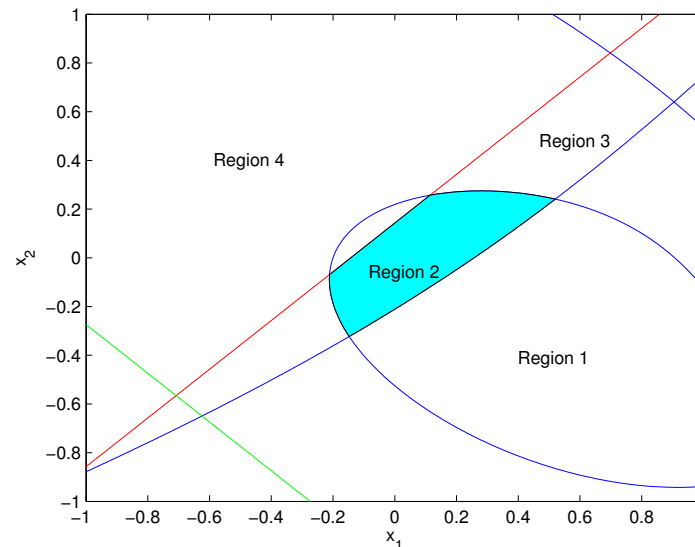
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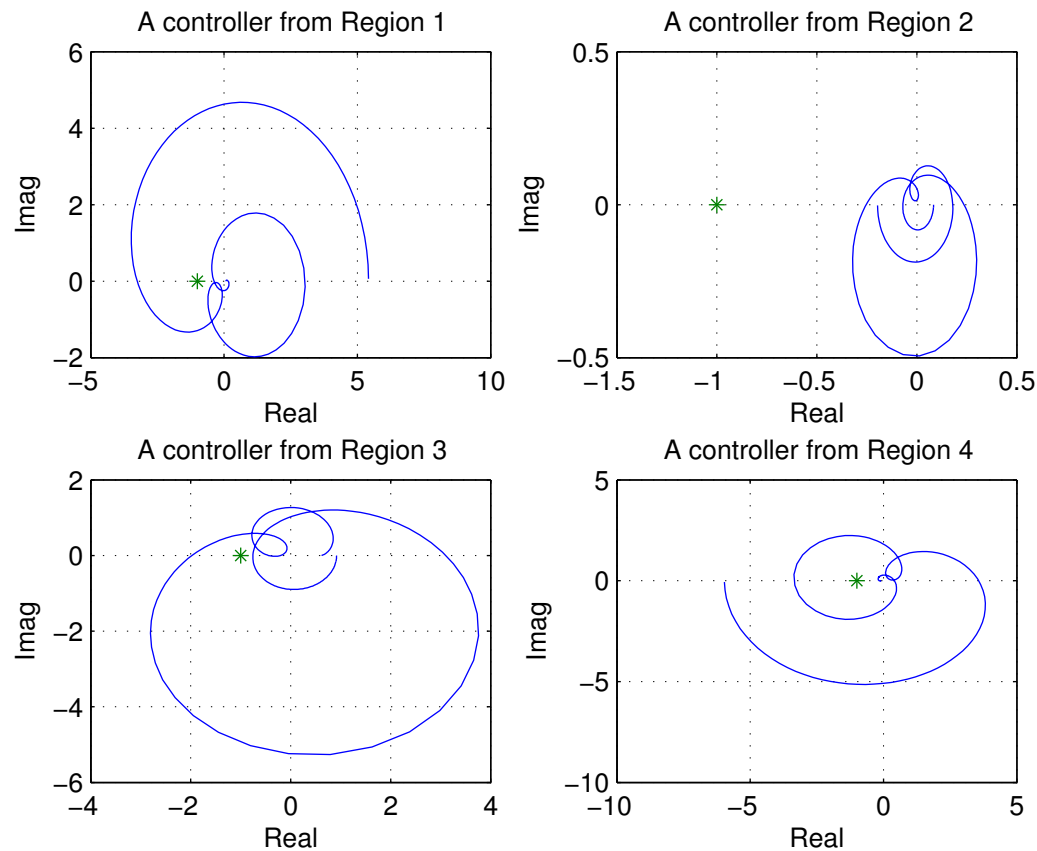
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# An Example: First Order Controller Design (continue ...)

The following figure shows the Nyquist plots with selected controllers from the four specified regions.



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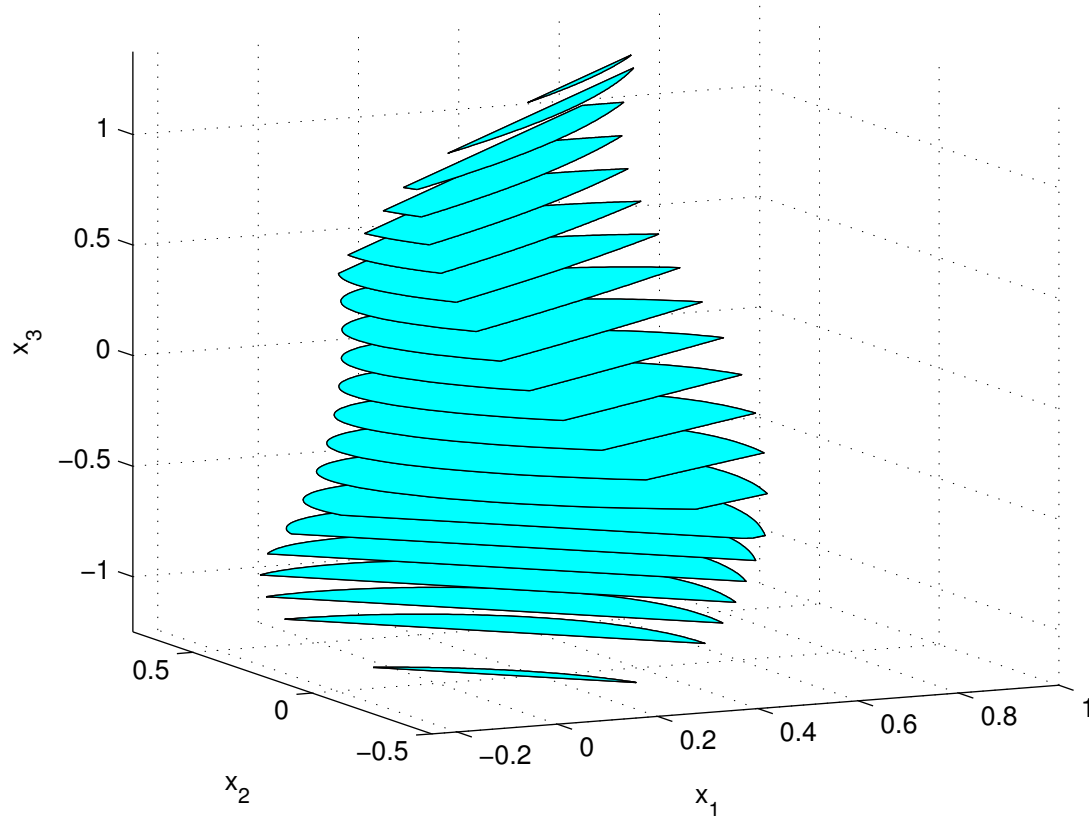
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- Similarly, corresponding closed-loops system with controllers from **Region 3 and 4** will have **2 and 3 poles** outside the unit circle, respectively.

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- Similarly, corresponding closed-loops system with controllers from **Region 3 and 4** will have **2 and 3 poles** outside the unit circle, respectively.
- This test led us to the conclusion that **the region 2 is the *only* stabilizing controller parameter region.**

# An Example: First Order Controller Design (continue ...)

By sweeping over  $x_3$ , we have the entire first order stabilizing controllers for the given plant.





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- By truncating the terms, we have approximation of the frequency response of the system.

$$P(z)|_{z=-u+jv} = Y_n(z)|_{z=-u+jv}$$

where  $n$  is the number of the terms taken from  $Y(z)$ .

## Example: Data Measurement by Impulse Input

- Markov parameter obtained

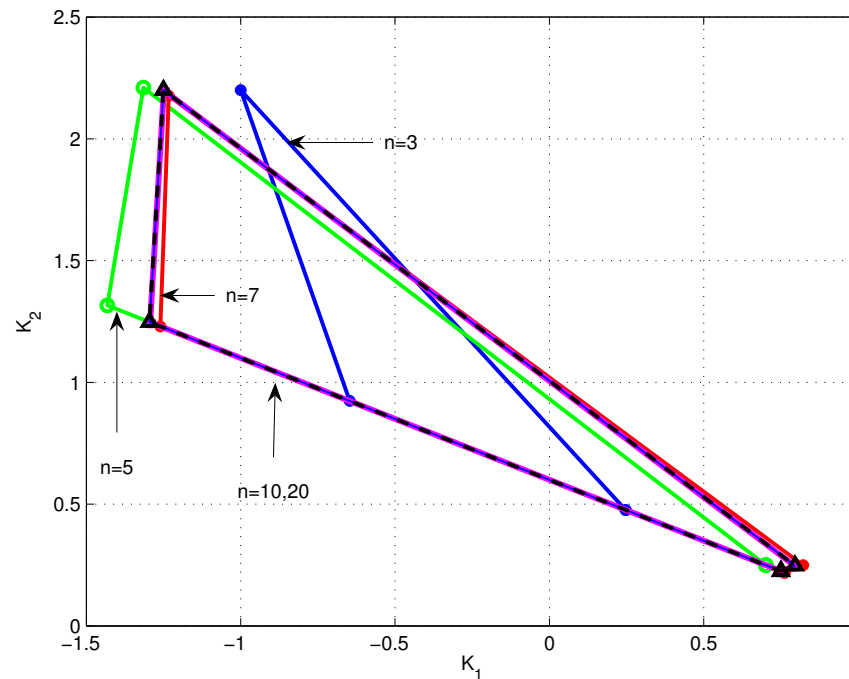
$$y[k] = [0, 0, 1, 0, 0.25, 0, 0.0625, 0, 0.015625, 0, 0.00390625, \dots]$$

# Example: Data Measurement by Impulse Input

- Markov parameter obtained

$$y[k] = [0, 0, 1, 0, 0.25, 0, 0.0625, 0, 0.015625, 0, 0.00390625, \dots]$$

- Stabilizing region with  $K_3 = 1.2$  for  $n = 3, 5, 7, 10, 20$



# Data Measurement by Step Input

- Alternative input - Step Input  $\Rightarrow$  Step Response parameters

$$y_s[k] = [y_0, y_1, y_2, \dots, y_k, \dots]$$

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$$\begin{aligned} H(z)|_{z=-u+jv} &= Y_s(z) \left[ \frac{z-1}{z} \right] \Big|_{z=-u+jv} \\ &= \left( y_0 + y_1 z^{-1} + y_2 z^{-2} + \dots + y_k z^{-k} + \dots \right) (1 - z^{-1}) \Big|_{z=-u+jv} \\ &= y_0 + (y_1 - y_0)z^{-1} + (y_2 - y_1)z^{-2} + \dots + (y_k - y_{k-1})z^{-k} + \dots \\ &= m_0 + m_1 z^{-1} + m_2 z^{-2} + \dots + m_k z^{-k} + \dots \end{aligned}$$

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- By truncating the terms, we have approximation of the frequency response of the system.

$$P(z)|_{z=-u+jv} = H_n(z)|_{z=-u+jv}$$

where  $n$  is the number of the terms taken from  $H(z)$ .

## Example: Data Measurement by Step Input

- The step response and the Markov parameters computed

$$y_s[k] = [0, 0, 1, 1, 1.25, 1.25, 1.3125, 1.3125, 1.328125, 1.328125, 1.33203125, \dots]$$

$$m(k) = [0, 0, 1, 0, 0.25, 0, 0.0625, 0.015625, 0, 0.00390625, \dots]$$

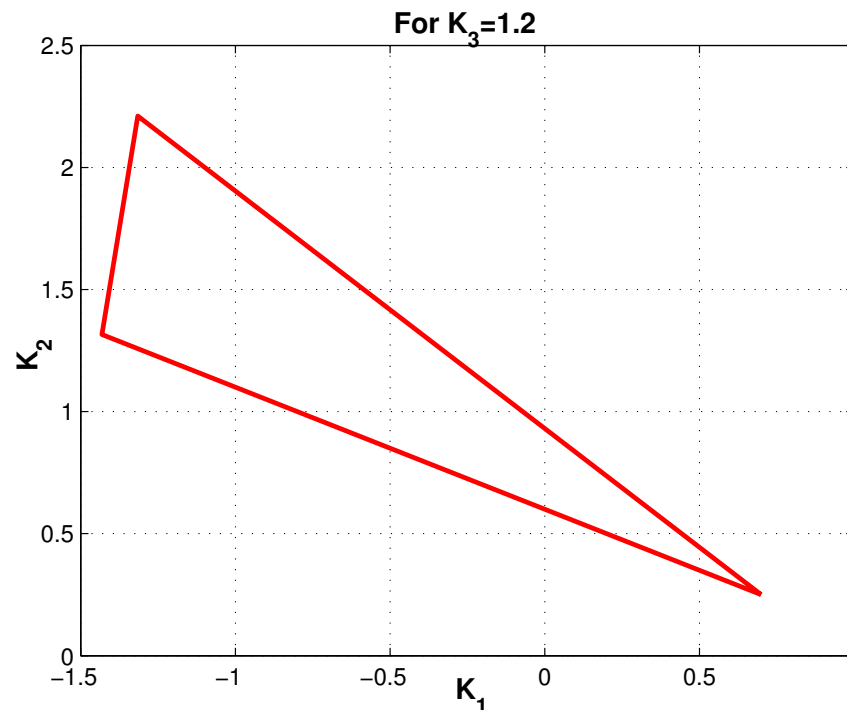
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- Stabilizing resion with  $K_3 = 1.2$  for  $n = 5$



# Computer Aided Design using Labview

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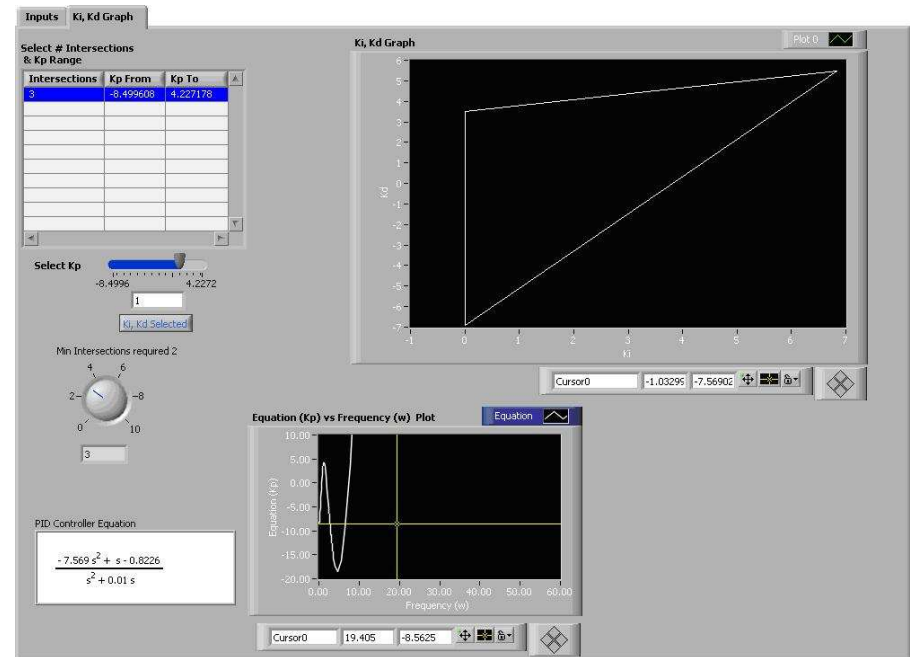
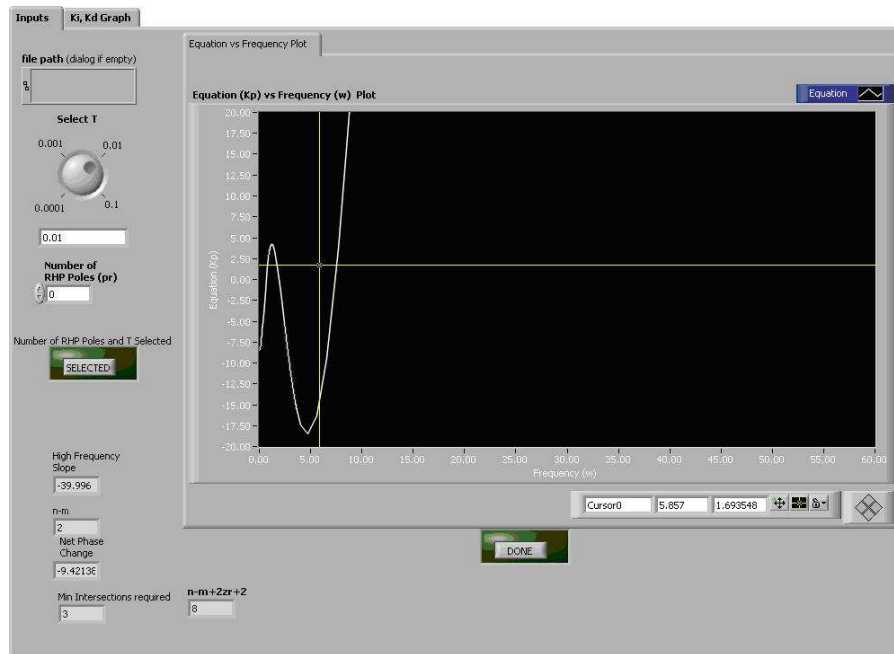
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- Given these inputs, the entire range of  $K_p$  that can stabilize the system is displayed,
- and as the user scrolls through the stabilizing range of  $K_p$ , entire stabilizing ranges of  $K_i$  and  $K_d$  are displayed.



# CAD using LABVIEW (continue...)

- Left: The file containing the frequency response data from a stable system (number of right hand plane poles equals zero) is fed into the program through the file path box located at the top.
- Right: The front panel shows stabilizing sets of  $K_p$ ,  $K_i$  and  $K_d$ .



## CAD using LABVIEW (continue...)

- Left:  $T$  is set to a very small number.

## CAD using LABVIEW (continue...)

- **Left:**  $T$  is set to a very small number.
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- Using the values of  $\omega$  obtained above, linear equations are solved by the program to compute stabilizing sets of  $K_i$  and  $K_d$  for a fixed  $K_p$ .

## CAD using LABVIEW (continue...)

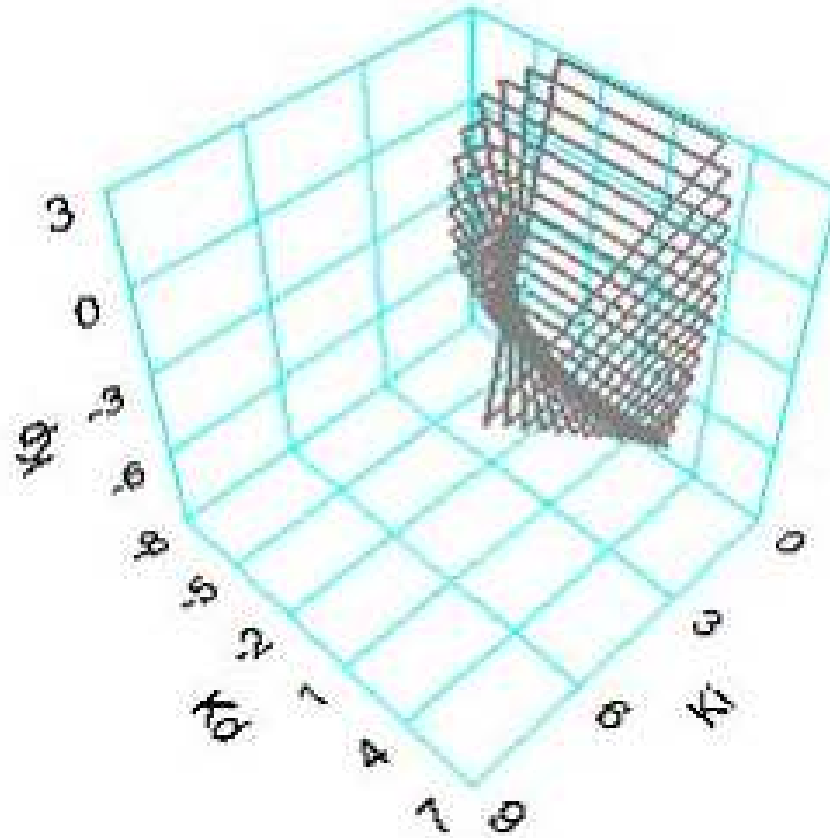
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- As the selected  $K_p$  is changed, the  $K_i$ ,  $K_d$  graph changes dynamically to show the new stabilizing ranges of  $K_i$  and  $K_d$  for the selected  $K_p$ .

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- **Right:** Gives the stabilizing range of  $K_i$  and  $K_d$  for  $K_p = 1$ .

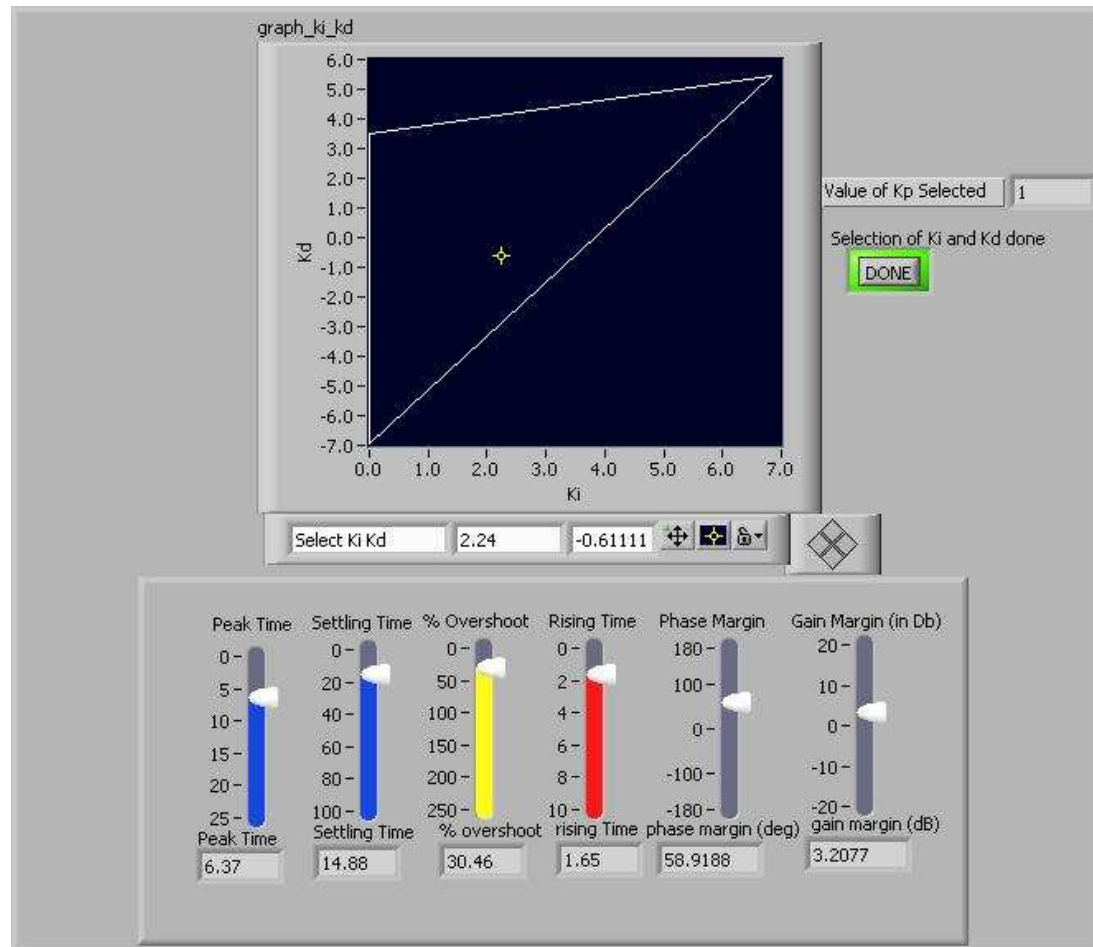
## CAD using LABVIEW: 3D graph of stabilizing sets

The stabilizing set of  $K_p$ ,  $K_i$  and  $K_d$  for the given system is shown.



# CAD using LABVIEW (continue...)

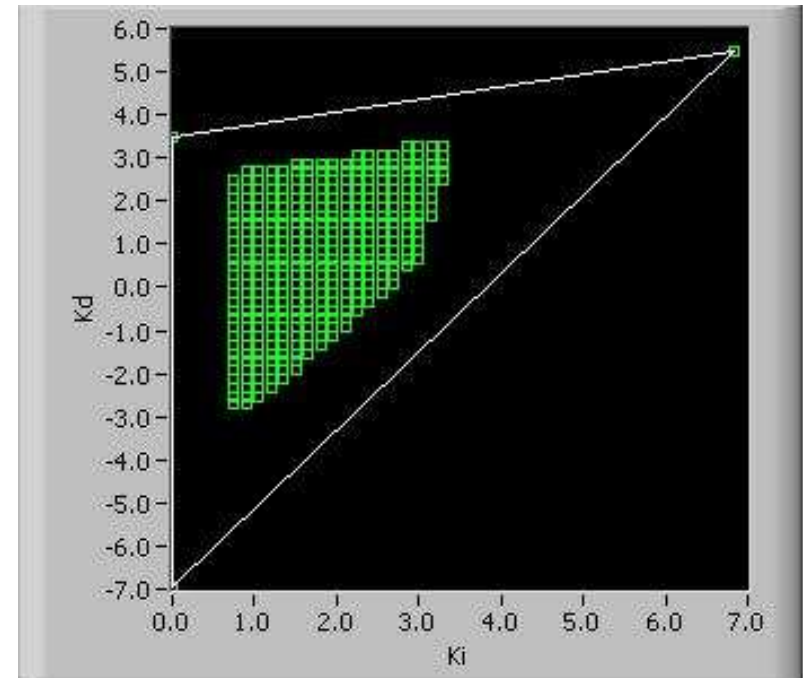
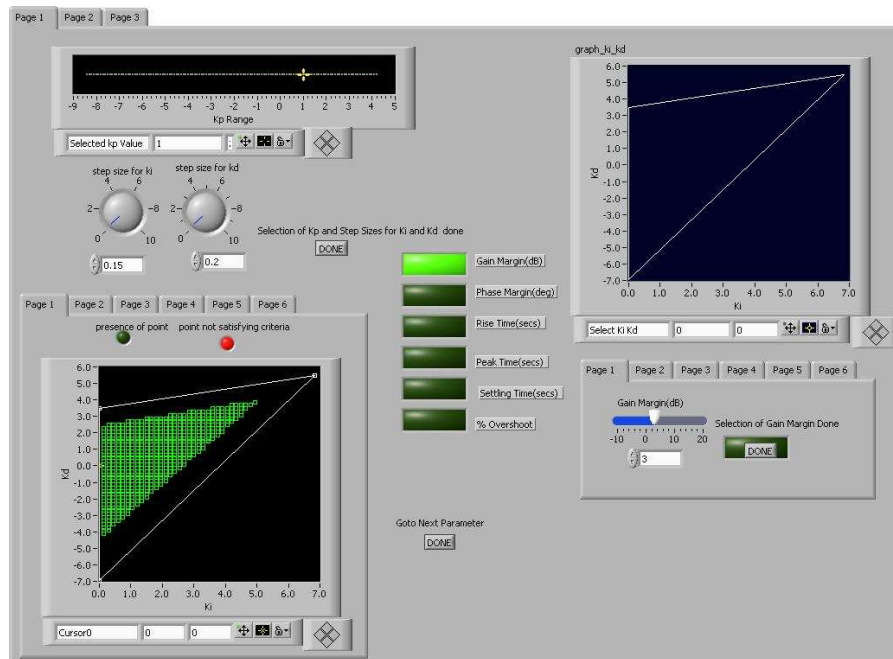
Front Panel of VI showing performance indices for specific  $K_p$ ,  $K_i$  and  $K_d$ .





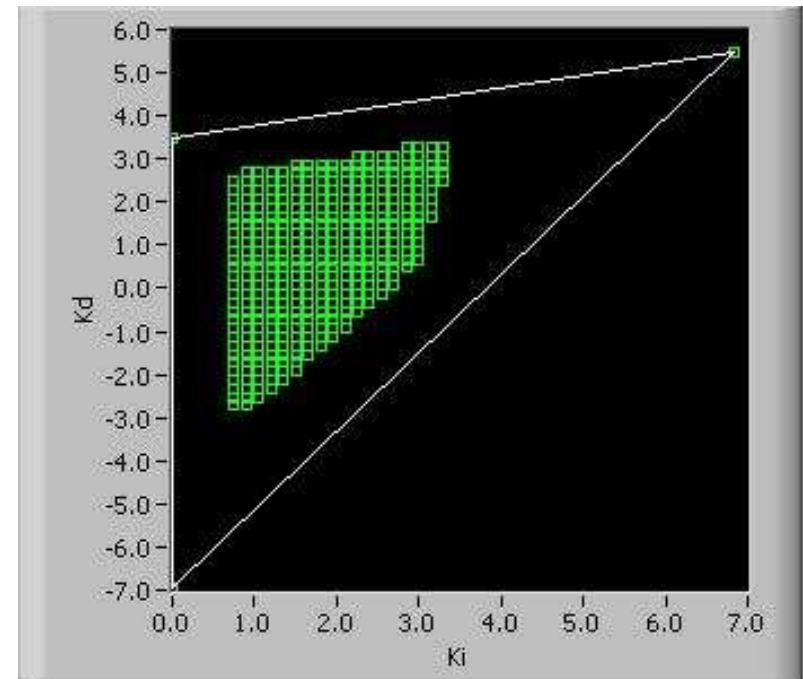
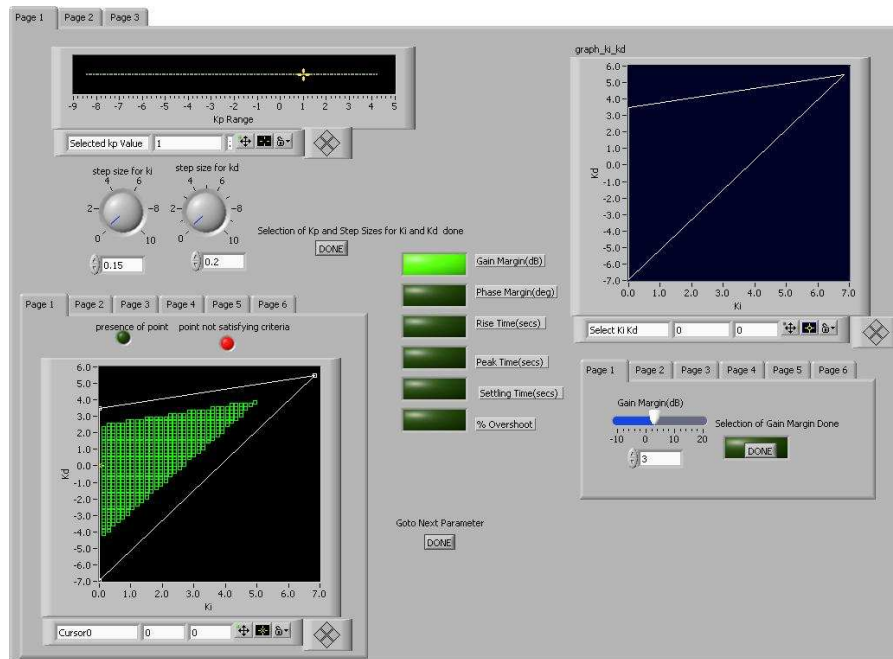
# CAD using LABVIEW (continue...)

- Front Panel of VI that satisfies multiple performance index specifications



# CAD using LABVIEW (continue...)

- Front Panel of VI that satisfies multiple performance index specifications



- The generated set of points satisfies multiple performance indices simultaneously. Performance indices used in the program: Gain Margin= 3db, Phase Margin=  $45^\circ$ , Overshoot= 30% .

## Concluding Remarks

- We have shown that the complete set of **PID/ First Order** stabilizing controllers achieving stability and various meaningful performance specifications can be found from the frequency response of the plant and knowledge of the number of RHP plant poles.

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## Concluding Remarks

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- Note that
  - that this calculation can be done by a nested linear programming procedure and
  - that only knowledge of the frequency response and number of RHP poles is sufficient.

## Concluding Remarks (continue...)

- It is not clear whether these advantages can be extended to other types of controllers and this is an area worth investigating since determining such stabilizing and performance sets is an important step toward lower order, robust and high performance controller design

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- It is also worth investigating how this procedure can be modified to accommodate incomplete or finite frequency data.
- An important area of research is MIMO PID control and the extension of the results given here to the multi-variable case.

**End of Presentation**

Thank You

## The short course lectures are based on materials contained in the following references:

- A. Datta, M.T. Ho, and S.P. Bhattacharyya, Structure and Synthesis of PID Controllers, Advances in Industrial Control, Springer, 2000.
- G.J. Silva, A. Datta, and S.P. Bhattacharyya, PID Controllers for Time-Delay Systems, Birkhäuser, 2004.
- S.P. Bhattacharyya, A. Datta, and L.H. Keel, Linear Control Theory: Structure, Robustness, and Optimization, CRC Press, 2009.
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- R.N. Tantarís, L.H. Keel, and S.P. Bhattacharyya, "Stabilization of discrete-time systems by first order controllers," IEEE Transactions on Automatic Control, Vol. 48, No. 5, pp. 858 - 861, May 2003.
- R.N. Tantarís, L.H. Keel, and S.P. Bhattacharyya, "H1 design with first order controllers," IEEE Transactions on Automatic Control, Vol. 51, No. 8, pp. 1343 - 1347, August, 2006.
- L.H. Keel, S. Mitra, and S.P. Bhattacharyya, "Data driven synthesis of three term digital controllers," SICE Journal of Control, Measurement, and System Integration, Vol. 1, No. 2, pp. 102 - 110, March 2008.
- L.H. Keel and S.P. Bhattacharyya, "Controller synthesis free of analytical models: three term controllers," IEEE Transactions on Automatic Control, Vol. 53, No. 6, pp. 1353 - 1369, July 2008.